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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14


Applicant's or agent's file reference N.75798B GCW	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/GB00/02932	International filing date (day/month/year) 28/07/2000	Priority date (day/month/year) 30/07/1999
International Patent Classification (IPC) or national classification and IPC C12Q1/68		
Applicant UNIVERSITY COLLEGE LONDON et al		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 11 sheets, including this cover sheet.
  - ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☒ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand  27/02/2001	Date of completion of this report  06.12.2001
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Leber, T  Telephone No. +49 89 2399 7195





I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, pages:**

1-47 as originally filed

**Claims, No.:**

1-51 as originally filed

**Drawings, sheets:**

1/11-11/11 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☒ the claims, Nos.: 1-3,6-16



☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

☐ the entire international application.

☒ claims Nos. 34-42,48-50(IA);4,5.

because:

☒ the said international application, or the said claims Nos. 34-42,48-50(IA) relate to the following subject matter which does not require an international preliminary examination (*specify*):  
**see separate sheet**

☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☒ no international search report has been established for the said claims Nos. 4,5.

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

☐ the written form has not been furnished or does not comply with the standard.

☐ the computer readable form has not been furnished or does not comply with the standard.

**IV. Lack of unity of invention**

1. In response to the invitation to restrict or pay additional fees the applicant has:

☒ restricted the claims.



- ☐ paid additional fees.
- ☒ paid additional fees under protest.
- ☐ neither restricted nor paid additional fees.
2. ☐ This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
- ☒ complied with.
- ☐ not complied with for the following reasons:
4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
- ☐ all parts.
- ☒ the parts relating to claims Nos. 4,5,17-51.

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes:	Claims	17,25,29-34,38-51
	No:	Claims	18-20,26-28,35-37
Inventive step (IS)	Yes:	Claims	17,25,29-34,38-42
	No:	Claims	43-51
Industrial applicability (IA)	Yes:	Claims	17-33,43-47,51
	No:	Claims	

2. Citations and explanations  
**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:





**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB00/02932

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see separate sheet



**R Item III**

**Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

1. Claims 34-42, 48-50 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).
2. Claims relating to inventions in respect of which no International Search Report has been established (claims 4 and 5; see PCT/ISA/210) need not to be the subject of International Preliminary Examination (Rule 66(1)(e) PCT). Accordingly, only those parts which are identified in the International Search Report as having been searched, are subject of this International Preliminary Examination Report.

**Re Item IV**

**Lack of unity of invention**

1. The International Searching Authority found three inventions in this international patent application (see International Search Report, dated 23.03.2001). A complete search report (not claims 4 and 5, see Item III-2. above) was established by the International Searching Authority as the additional effort did not justify a further payment of search fees.  
The International Examining Authority agreed with the said objections to non-unity (Rule 13 PCT) and issued an invitation to restrict or to pay additional fees (see PCT/IPEA/405, dated 30.07.2001).  
With his letter of reply (13.08.2001) the Applicant paid fees for one more invention and requested to continue examination of invention II and III only. Said additional fee was paid under protest. The Applicant requested to reconsider the non-unity objection, to examine Inventions II and III as a single invention and to refund the additional fee.  
Following Rule 68.3 (c) PCT a three member board reconsidered the said non-unity objection (Rule 13 PCT) raised by the ISA and the IPEA. The board decided **to not maintain** the said objection to non-unity, to examine invention II and III as



a single invention and to refund the additionally paid examination fee (see PCT/IPEA/420, dated 08.10.2001).

**Re Item V**

**Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Basis for the assessment of novelty, inventive step and industrial applicability**

1.1 Reference is made to the following documents:

- D1: Christodouloupoulos G. et al., Cancer Reserach, 1998, 58, 1789-1792
- D2: 'A phosphatidylinositol 3-kinase inhibitor wortmannin induces radioresistant DNA synthesis and sensitizes cells to bleomycin and ionizing radiation.', 'HOSOI YOSHIO ET AL', 'BIOSIS', 'BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US', 23-11-1998
- D3: WO 99 33971 A (MEDICAL COLLEGE OF GEORGIA RES) 8 July 1999 (1999-07-08)
- D4: WO 99 11620 A (LOWE JOHN ADAMS III ;PFIZER PROD INC (US)) 11 March 1999 (1999-03-11)
- D5: 'Suppression of HIV-1 infection in linomide-treated SCID-hu-PBL mice.', 'DEL REAL GUSTAVO ET AL', 'BIOSIS', 'BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US', 28-05-1998

**2. Novelty**

- 2.1 Claim 17 appears to be novel (Art 33(2) PCT) as none of the documents cited in the ISR disclose the combination of a DNA damaging agent and a NO-synthase inhibitor. Independent claims 25 and 34 and the claims dependent thereon (claims 29-33, 38-42) appear to be novel for the same reasons (Art 33(2) PCT).
- 2.2 Claims 43-45 appear to be novel (Art 33(2) PCT) as none of the documents cited in the ISR disclose the use of NOS-inhibitors in the preparation of a medicament



for the treatment of retroviral infection. Claim 48 appears to be novel for the same reasons (Art 33(3) PCT).

2.3 Claims 46, 47, 49-51 appear to be novel (Art 33(2) PCT) as none of the documents cited in the ISR disclose the use of an NOS inhibitor combined with a PI3-kinase inhibitor.

2.3 Document D1 discloses that treatment of primary human tumour cells with the DNA-PK inhibitor Wortmannin helped to overcome the resistance to the nitrogen mustard chlorambucil (CLB; D1, Abstract). The experiments were done in vitro on B-lymphocytes obtained from individuals suffering from B-cell chronic lymphocytic leukemia (B-CLL). The patients were either untreated or treated with CLB at the time point of sampling the peripheral blood.

Thus, claims 18-20 lack novelty (Art 33(2) PCT).

2.4 Document D2 discloses that a PI3-kinase inhibitor sensitises various tumour cell lines to DNA damaging agents such as bleomycin, miomycin-C, X-irradiation and UV-irradiation by inhibiting the DNA-PK activity (D2, Abstract).

Thus, claims 18-24 lack novelty (Art 33(2) PCT).

2.5 Document D3 discloses oligomers which bind to the Ku protein. The Ku protein is part of the DNA-dependent protein kinase (DNA-PK) and thus essential for DNA double strand repair (D3, page 1, lines 11-14). The DNA-PK associated DNA-repair mechanism becomes inactivated through the action of the anti-Ku aptamers. This results in sensitising the cells to DNA-damaging radiation or drugs (D3, page 28, "A. Method to Sensitise Cells to Agents"; claims 8-12). D2 further discloses pharmaceutical compositions encompassing the anti-Ku aptamers (D3, page 16 "B. Pharmaceutical Compositions").

Thus, claims 18-20, 26-28, 35-37 lack novelty (Art 33(2) PCT).

2.6 In conclusion, claims 17, 25, 29-34, 38-51 appear to be novel (Art 33(2) PCT).

### **3. Inventive Step**

3.1 Claim 17 differs from the closest prior art document D4, which discloses NOS





inhibitors for cancer treatment (D4, claims 1, 5-8), in that the administration of a NOS inhibitor is combined with that of a DNA damaging agent. The technical effect associated with this combination is the synergistic action resulting from said combination. The technical problem is to provide an improved cancer therapy. The solution referred to in claim 17 is to combine a NOS-inhibitor with a DNA damaging substance. It appears that an inventive step (Art 33(3) PCT) can be acknowledged for said solution as none of the documents cited in the ISR disclose or indicate the said solution. Independent claims 25 and 34 appear to be inventive for the same reasons (Art 33(2) PCT). The dependent claims 29-33 and 38-42 are thus also inventive (Art 33(3) PCT).

- 3.2 D5 discloses the use of the substance Linomide in HIV-1 infected mice. Administration of the said substance affects the viral load and prevents NOS gene expression and thereby NO production (D5, Abstract; page 866, left column). Claim 43 differs from the closest prior art document D5 in that an inhibitor of the protein NOS is used. The technical problem is to provide an alternative method of reducing NO synthesis. It appears that an inventive step (Art 33(3) PCT) cannot be acknowledged for said solution as it is obvious for the skilled person that protein activity can be regulated on the level of gene expression as well as on the level of protein activity. Claims 48 and 51 lack an inventive step (Art 33(3) PCT) for the same reasons.
- 3.3 Claim 44 differs from the closest prior art D5 in that the NOS inhibitor is administered to a subject already being treated with a PI3-kinase inhibitor. The technical problem is to provide an alternative method to inhibit DNA repair mechanisms. The solution referred to in claim 44 is to use two different inhibitors. According to the description, NO up-regulates DNA repair mechanisms such as PI3 kinases (page 23, line 31 - page 24, line 5). It appears therefore, that in terms of inhibiting DNA repair mechanisms no effect is achieved by this combination of inhibitors which differs from the administration of each of these inhibitors alone. Thus, a surprising technical effect or a technical contribution to the art appears not to be associated with this solution. Therefore, an inventive step cannot be acknowledged (Art 33(3) PCT). Claims 45-47, 49 and 50 lack an inventive step (Art 33(3) PCT) for the same reasons.



#### **4. Industrial applicability**

- 4.1 The subject-matter disclosed in the claims 17-33, 43-47, 51 of the present application appears to be industrially applicable (Art 33(4) PCT).
- 4.2 For the assessment of the present claims 25-44, 48-50 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

#### **Re Item VII**

##### **Certain defects in the international application**

1. To meet the requirements of Art 5 and Rule 5 PCT, the documents D1 and D2 should be identified in the description and the relevant background art disclosed therein should be briefly discussed if the subject-matter for which these documents are relevant prior art remains in the claims.

#### **Re Item VIII**

##### **Certain observations on the international application**

1. The term "combined preparation" in claim 17 lacks clarity in view that the agents can be administered in a "separate or sequential" manner (Art 6 PCT). The same objection is raised against claims 18 and 46.
2. Claim 25 refers to the use of a NOS inhibitor for the manufacture of a medicament for use "with" a DNA damaging agent. The chronological relationship between the administration of the NOS inhibitor and the DNA damaging agent is unclear (Art 6 PCT). It appears that a simultaneous administration is supported by the



description (page 2, lines 23-26). Similar objections are raised against claims 26, 34, 35, 44 and 49.

3. The term "PI 3-kinase-like" in claims 19, 20, 27, 28, 37, 44, 45, 46, 47, 49, 50 etc. lacks clarity (Art 6 PCT).
4. The description discloses that screening for polynucleotides which are differentially expressed in response to NO, led to the gene encoding DNA-PKcs. This protein belongs to the PI3-kinase family sharing the PI 3 kinase domain. The description further states that "other polypeptides containing a PI 3-kinase-like domain" are also implicated in DNA repair. The wording clearly suggests that some, but not all proteins having the PI 3-kinase domain are involved in DNA repair. Therefore, claims 19, 20, 27, 28, 37, 44, 45, 46, 47, 49, 50 etc., which refer broadly to PI3-kinase-like-kinases, lack support by the description (Art 6 PCT).



## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>N.75798B GCW</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/GB 00/ 02932</b>	International filing date (day/month/year) <b>28/07/2000</b>	(Earliest) Priority Date (day/month/year) <b>30/07/1999</b>
Applicant  <b>UNIVERSITY COLLEGE LONDON</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 7 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☒ **Certain claims were found unsearchable** (See Box I).

3. ☒ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,



the text is approved as submitted by the applicant.



the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,



the text is approved as submitted by the applicant.



the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.



as suggested by the applicant.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.



None of the figures.





**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-3,6-16 (complete)

Invention 1:

A polynucleotide and vector containing an inducible promoter and a nitric oxide synthase coding sequence and methods and uses thereof and cells containing it.

2. Claims: 17,25,43-47 (complete) 51 (partially)

Invention 2:

Products containing NOS inhibitors and uses thereof.

3. Claims: 18-24,26-33 (complete)

Invention 3:

Products containing a DNA repair enzyme inhibitor and a DNA damaging agent and uses thereof.



## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 4,5

Claims 4, and 5 are directed to compounds identified by the method of claim 3. Claim 3 is again directed to compounds identified by the method of claim 1. However, no such compounds are defined in the application thereby rendering the subject matter of said claims purely speculative and a mere statement of the goals to be achieved. No meaningful search can be carried out for such "read-through claims" whose scope is open-ended and unclear (Article 6 PCT).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.



## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12Q1/68 C07K14/47 C12N5/10 C12N15/63 A61K38/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12Q C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data, MEDLINE, EMBASE, BIOSIS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 26066 A (ARIAD GENE THERAPEUTICS INC ; CERASOLI FRANKLIN JR (US)) 18 June 1998 (1998-06-18)	6-16
Y	claims 1-25	1
Y	US 5 759 836 A (ABRAMSON STEVEN B ET AL) 2 June 1998 (1998-06-02)	1
A	claims 1,2	6-16
X	WO 99 33971 A (MEDICAL COLLEGE OF GEORGIA RES) 8 July 1999 (1999-07-08) the whole document	2,3
X	US 5 908 756 A (JAFFREY SAMIE R ET AL) 1 June 1999 (1999-06-01) the whole document	2,3
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Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

9 March 2001

Date of mailing of the international search report

23/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
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Authorized officer

Gabriels, J



## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 11620 A (LOWE JOHN ADAMS III ;PFIZER PROD INC (US)) 11 March 1999 (1999-03-11) page 7 -page 8; claims 1-10 ----	17,25,34
X	CHRISTODOULOPOULOS GARYFALLIA ET AL: "Potentiation of chlorambucil cytotoxicity in B-cell chronic lymphocytic leukemia by inhibition of DNA-dependent protein kinase activity using wortmannin." CANCER RESEARCH, vol. 58, no. 9, 1 May 1998 (1998-05-01), pages 1789-1792, XP002161828 ISSN: 0008-5472 page 1790, left-hand column -page 1792, left-hand column ----	18-22, 26-30, 35-39
X	DATABASE BIOSIS 'Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; 23 November 1998 (1998-11-23) HOSOI YOSHIO ET AL: "A phosphatidylinositol 3-kinase inhibitor wortmannin induces radioresistant DNA synthesis and sensitizes cells to bleomycin and ionizing radiation." Database accession no. PREV199900006904 XP002161830 abstract & INTERNATIONAL JOURNAL OF CANCER, vol. 78, no. 5, 23 November 1998 (1998-11-23), pages 642-647, ISSN: 0020-7136 ----	18-20, 23,24, 26-28, 31-33, 40-42
X	DATABASE BIOSIS 'Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; 28 May 1998 (1998-05-28) DEL REAL GUSTAVO ET AL: "Suppression of HIV-1 infection in linomide-treated SCID-hu-PBL mice." Database accession no. PREV199800315674 XP002161831 abstract & AIDS (LONDON), vol. 12, no. 8, 28 May 1998 (1998-05-28), pages 865-872, ISSN: 0269-9370 ----	43
Y	----	44-51
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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DANIEL RENE ET AL: "A role for DNA-PK in retroviral DNA integration." SCIENCE (WASHINGTON D C), vol. 284, no. 5414, 23 April 1999 (1999-04-23), pages 644-647, XP002161829 ISSN: 0036-8075 cited in the application the whole document	44-51
A	NO ET AL: "ECDYSONE-INDUCIBLE EXPRESSION IN MAMMALIAN CELLS AND TRANSGENIC MICE" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, INDIA. SECTION A, PHYSICAL SCIENCES, IN, NATIONAL ACADEMY OF SCIENCE, ALLAHABAD, vol. 93, 1996, pages 3346-3351, XP002136440 ISSN: 0369-8203 page 3350, right-hand column -page 3351, left-hand column; figures 1,2	1-16



Patent document cited in search report		Publication date	Patent family member(s)		Publication date
WO 9826066	A	18-06-1998	AU	7847698 A	03-07-1998
			EP	0948619 A	13-10-1999
US 5759836	A	02-06-1998	AU	5306396 A	16-10-1996
			WO	9630388 A	03-10-1996
WO 9933971	A	08-07-1999	AU	2018999 A	19-07-1999
US 5908756	A	01-06-1999	AU	4330197 A	19-03-1998
			EP	0958359 A	24-11-1999
			JP	2001500726 T	23-01-2001
			WO	9808945 A	05-03-1998
WO 9911620	A	11-03-1999	AU	8458698 A	22-03-1999
			BR	9811921 A	15-08-2000
			CN	1268122 T	27-09-2000
			EP	1007512 A	14-06-2000
			HR	980476 A	30-06-1999
			NO	20000957 A	25-02-2000
			PL	339008 A	04-12-2000



The demand must be filed directly with the competent International Preliminary Examining Authority. Two or more Authorities are competent, with the one chosen by the applicant. The full name or two-letter code of that Authority may be indicated by the applicant on the line below:

IPEA/ EPO

# PCT

## CHAPTER II

### DEMAND

under Article 31 of the Patent Cooperation Treaty:  
The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For International Preliminary Examining Authority use only		
Identification of IPEA		Date of receipt of DEMAND
<b>Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION</b>		Applicant's or agent's file reference N.75798B GCW
International application No. PCT/GB00/02932	International filing date (day/month/year) 28 July 2000	(Earliest) Priority date (day/month/year) 30 July 1999
Title of invention INDUCIBLE SCREEN FOR DRUG DISCOVERY		
<b>Box No. II APPLICANT(S)</b>		
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  UNIVERSITY COLLEGE LONDON Gower Street London WC1E 9BT UNITED KINGDOM		Telephone No.:
		Facsimile No.:
		Teleprinter No.:
State (that is, country) of nationality: GB		State (that is, country) of residence: GB
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  CHARLES, Ian George The Wolfson Institute for Biomedical Research The Cruciform Building University College London Gower Street London, WC1E 6BT UNITED KINGDOM		
State (that is, country) of nationality: GB		State (that is, country) of residence: GB
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  XU, Weiming The Wolfson Institute for Biomedical Research The Cruciform Building University College London Gower Street London, WC1E 6BT UNITED KINGDOM		
State (that is, country) of nationality: GB		State (that is, country) of residence: GB
<input checked="" type="checkbox"/> Further applicants are indicated on a continuation sheet.		



## Continuation of Box No. II APPLICANT(S)

*If none of the following sub-boxes is used, this sheet should not be included in the demand.*

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

LIU, Lizhi  
The Wolfson Institute for Biomedical Research  
The Cruciform Building  
University College London  
Gower Street  
London, WC1E 6BT  
UNITED KINGDOM

State (that is, country) of nationality:  
GBState (that is, country) of residence:  
GB

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

State (that is, country) of nationality:

State (that is, country) of residence:

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

State (that is, country) of nationality:

State (that is, country) of residence:

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

State (that is, country) of nationality:

State (that is, country) of residence:

☐

Further applicants are indicated on another continuation sheet.





**Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**The following person is ☒ agent ☐ common representativeand ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination.☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*WOODS, Geoffrey Corlett  
J.A. KEMP & CO.  
14 South Square  
Gray's Inn  
London  
WC1R 5LX  
United Kingdom

Telephone No.:

+44 20 7405 3292

Facsimile No.:

+44 20 7242 8932

Teleprinter No.:

23676

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.**Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION****Statement concerning amendments:\***

1. The applicant wishes the international preliminary examination to start on the basis of:

☒ the international application as originally filedthe description ☐ as originally filed☐ as amended under Article 34the claims ☐ as originally filed☐ as amended under Article 19 (together with any accompanying statement)☐ as amended under Article 34the drawings ☐ as originally filed☐ as amended under Article 342. ☐ The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.3. ☐ The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired.)*

\* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

Language for the purposes of international preliminary examination: ENGLISH☒ which is the language in which the international application was filed.☐ which is the language of a translation furnished for the purposes of international search.☐ which is the language of publication of the international application.☐ which is the language of the translation (to be) furnished for the purposes of international preliminary examination.**Box No. V ELECTION OF STATES**The applicant hereby elects all eligible States *(that is, all States which have been designated and which are bound by Chapter II of the PCT)*

excluding the following States which the applicant wishes not to elect:



**Box No. VI CHECK LIST**

The demand is accompanied by the following elements, in the language referred to in Box No. IV, for the purposes of international preliminary examination:

- |  |   |   |        |
|--|---|---|--------|
| 1. translation of international application                              | : |   | sheets |
| 2. amendments under Article 34   | : |   | sheets |
| 3. copy (or, where required, translation) of amendments under Article 19 | : |   | sheets |
| 4. copy (or, where required, translation) of statement under Article 19  | : |   | sheets |
| 5. letter  | : | 1 | sheets |
| 6. other ( <i>specify</i> )  | : |   | sheets |

For International Preliminary Examining Authority use only

received                      not received

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

The demand is also accompanied by the item(s) marked below:

- |  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> fee calculation sheet                             | 4. <input type="checkbox"/> statement explaining lack of signature                                  |
| 2. <input type="checkbox"/> separate signed power of attorney                            | 5. <input type="checkbox"/> nucleotide and or amino acid sequence listing in computer readable form |
| 3. <input type="checkbox"/> copy of general power of attorney, reference number, if any: | 6. <input checked="" type="checkbox"/> other ( <i>specify</i> ): Letter                             |

**Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE**

*Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).*

WOODS, Geoffrey Corlett

For International Preliminary Examining Authority use only

1. Date of actual receipt of DEMAND:

2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):

3. ☐ The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply.

☐ The applicant has been informed accordingly.

4. ☐ The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5.

5. ☐ Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

For International Bureau use only

Demand received from IPEA on:



## PCT

## FEE CALCULATION SHEET

## Annex to the Demand for international preliminary examination

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">International application No.</td> <td>PCT/GB00/02932</td> </tr> <tr> <td>Applicant's or agent's file reference</td> <td>N.75798B GCW</td> </tr> </table>	International application No.	PCT/GB00/02932	Applicant's or agent's file reference	N.75798B GCW	<div style="border: 1px solid black; padding: 5px; height: 100px;"> <p style="text-align: center; margin-top: 0;">For International Preliminary Examining Authority use only</p> <p style="text-align: center; margin-top: 20px;">Date stamp of the IPEA</p> </div>														
International application No.	PCT/GB00/02932																		
Applicant's or agent's file reference	N.75798B GCW																		
Applicant <div style="text-align: center; font-weight: bold;">UNIVERSITY COLLEGE LONDON</div>																			
<b>Calculation of prescribed fees</b>  <table style="width: 100%;"> <tr> <td style="width: 60%;">1. Preliminary examination fee .....</td> <td style="width: 20%; text-align: center; border: 1px solid black;">EUR 1533</td> <td style="width: 20%; text-align: center; border: 1px solid black;">P</td> </tr> <tr> <td colspan="3" style="height: 20px;"></td> </tr> <tr> <td>2. Handling fee <i>(Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.)</i> .....</td> <td style="text-align: center; border: 1px solid black;">EUR 147</td> <td style="text-align: center; border: 1px solid black;">H</td> </tr> <tr> <td colspan="3" style="height: 20px;"></td> </tr> <tr> <td>3. Total of prescribed fees Add the amounts entered at P and H and enter total in the TOTAL box .....</td> <td style="text-align: center; border: 1px solid black;">EUR 1680</td> <td></td> </tr> <tr> <td></td> <td style="text-align: center; border: 1px solid black;">TOTAL</td> <td></td> </tr> </table>		1. Preliminary examination fee .....	EUR 1533	P				2. Handling fee <i>(Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.)</i> .....	EUR 147	H				3. Total of prescribed fees Add the amounts entered at P and H and enter total in the TOTAL box .....	EUR 1680			TOTAL	
1. Preliminary examination fee .....	EUR 1533	P																	
2. Handling fee <i>(Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.)</i> .....	EUR 147	H																	
3. Total of prescribed fees Add the amounts entered at P and H and enter total in the TOTAL box .....	EUR 1680																		
	TOTAL																		
<b>Mode of Payment</b>  <table style="width: 100%;"> <tr> <td><input checked="" type="checkbox"/> authorization to charge deposit account with the IPEA (see below)</td> <td><input type="checkbox"/> cash</td> </tr> <tr> <td><input type="checkbox"/> cheque</td> <td><input type="checkbox"/> revenue stamps</td> </tr> <tr> <td><input type="checkbox"/> postal money order</td> <td><input type="checkbox"/> coupons</td> </tr> <tr> <td><input type="checkbox"/> bank draft</td> <td><input type="checkbox"/> other (specify):</td> </tr> </table>		<input checked="" type="checkbox"/> authorization to charge deposit account with the IPEA (see below)	<input type="checkbox"/> cash	<input type="checkbox"/> cheque	<input type="checkbox"/> revenue stamps	<input type="checkbox"/> postal money order	<input type="checkbox"/> coupons	<input type="checkbox"/> bank draft	<input type="checkbox"/> other (specify):										
<input checked="" type="checkbox"/> authorization to charge deposit account with the IPEA (see below)	<input type="checkbox"/> cash																		
<input type="checkbox"/> cheque	<input type="checkbox"/> revenue stamps																		
<input type="checkbox"/> postal money order	<input type="checkbox"/> coupons																		
<input type="checkbox"/> bank draft	<input type="checkbox"/> other (specify):																		
<b>Deposit Account Authorization</b> <i>(this mode of payment may not be available at all IPEAs)</i>  The IPEA/ <u>EPO</u> <input checked="" type="checkbox"/> is hereby authorized to charge the total fees indicated above to my deposit account.  <input type="checkbox"/> <i>(this check-box may be marked only if the conditions for deposit accounts of the IPEA so permit)</i> is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account.																			
<u>2805.0038</u> Deposit Account Number	<u>22 February 2001</u> Date (day/month/year)	<u>WOODS, Geoffrey Corlett</u> Signature																	

10

# TENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

# PCT

<p>To:</p> <p>WOODS, Geoffrey Corlett et al J.A.KEMP &amp; CO 14 South Square Gray's Inn, London, WC1R 5EX GRANDE BRETAGNE</p>	<p><b>J. A. KEMP &amp; Co</b></p> <p><b>15 MAR 2001</b></p> <p>Action by <i>GCW MCC</i></p>
--	---

## NOTIFICATION OF RECEIPT OF DEMAND BY COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

(PCT Rules 59.3(e) and 61.1(b), first sentence  
and Administrative Instructions, Section 601(a))

<p>Date of mailing (day/month/year)</p> <p style="text-align: right; font-size: 1.2em;"><b>1 3. 03. 01</b></p>		<p style="text-align: center;"><b>IMPORTANT NOTIFICATION</b></p>	
<p>Applicant's or agent's file reference</p> <p style="text-align: center;">N. 75798B GCW</p>			
<p>International application No.</p> <p style="text-align: center;">PCT/GB 00/ 02932</p>	<p>International filing date (day/month/year)</p> <p style="text-align: center;">28/07/2000</p>	<p>Priority date (day/month/year)</p> <p style="text-align: center;">30/07/1999</p>	
<p>Applicant</p> <p style="text-align: center;">UNIVERSITY COLLEGE LONDON et al</p>			

1. The applicant is hereby notified that this International Preliminary Examining Authority considers the following date as the date of receipt of the demand for international preliminary examination of the international application:

27/02/2001

2. This date of receipt is:

- ☒ the actual date of receipt of the demand by this Authority (Rule 61.1(b)).
- ☐ the actual date of receipt of the demand on behalf of this Authority (Rule 59.3(e)).
- ☐ the date on which this Authority has, in response to the invitation to correct defects in the demand (Form PCT/IPEA/404), received the required corrections.

3. ☐ **ATTENTION:** That date of receipt is **AFTER** the expiration of 19 months from the priority date. Consequently, the election(s) made in the demand does (do) not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)). Therefore, the acts for entry into the national phase must be performed within 20 months from the priority date (or later in some Offices) (Article 22). For details, see the *PCT Applicant's Guide*, Volume II.

- ☐ (If applicable) This notification confirms the information given by telephone, facsimile transmission or in person on:
- 

4. Only where paragraph 3 applies, a copy of this notification has been sent to the International Bureau.

Name and mailing address of the IPEA/

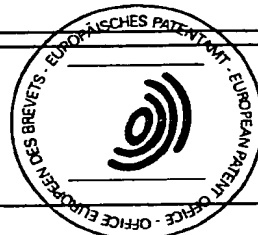


European Patent Office  
D-80298 Munich  
Tel. (+49-89) 2399-0, Tx: 523656 epmu d  
Fax: (+49-89) 2399-4465

Authorized officer

DONNELLY P P

Tel. (+49-89) 2399-2362







# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum) N.75798B GCW

**Box No. I TITLE OF INVENTION**

INDUCIBLE SCREEN FOR DRUG DISCOVERY

**Box No. II APPLICANT**

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

UNIVERSITY COLLEGE LONDON  
Gower Street  
London  
WC1E 9BT  
UNITED KINGDOM

☐ This person is also inventor.

Telephone No.

Facsimile No.

Teleprinter No.

State (that is, country) of nationality:

GB

State (that is, country) of residence:

GB

This person is applicant  
for the purposes of:

☐ all designated  
States

☒ all designated States except  
the United States of America

☐ the United States  
of America only

☐ the States indicated in  
the Supplemental Box

**Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)**

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

CHARLES, Ian George  
The Wolfson Institute for Biomedical Research  
The Cruciform Building  
University College London  
Gower Street  
London, WC1E 6BT  
UNITED KINGDOM

This person is:

☐ applicant only

☒ applicant and inventor

☐ inventor only (If this check-box  
is marked, do not fill in below.)

State (that is, country) of nationality:

GB

State (that is, country) of residence:

GB

This person is applicant  
for the purposes of:

☐ all designated  
States

☐ all designated States except  
the United States of America

☒ the United States  
of America only

☐ the States indicated in  
the Supplemental Box

☒ Further applicants and/or (further) inventors are indicated on a continuation sheet.

**Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**

The person identified below is hereby/has been appointed to act on behalf  
of the applicant(s) before the competent International Authorities as:

☒ agent

☐ common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

WOODS, Geoffrey Corlett  
J.A. KEMP & CO.,  
14 South Square,  
Gray's Inn,  
London, WC1R 5LX,  
United Kingdom.

Telephone No.

+44 20 7405 3292

Facsimile No.

+44 20 7242 8932

Teleprinter No.

23676

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.



<b>Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>	
<i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p> <p>XU Weiming  The Wolfson Institute for Biomedical Research  The Cruciform Building  University College London  Gower Street  London, WC1E 6BT  UNITED KINGDOM</p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input checked="" type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p> <p>LIU, Lizhi  The Wolfson Institute for Biomedical Research  The Cruciform Building  University College London  Gower Street  London, WC1E 6BT  UNITED KINGDOM</p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input checked="" type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
State (that is, country) of nationality: GB	State (that is, country) of residence: GB
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
State (that is, country) of nationality:	State (that is, country) of residence:
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><small>Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)</p>
State (that is, country) of nationality:	State (that is, country) of residence:
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.</p>	



**Box No.V DESIGNATION OF STATES**

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

**Regional Patent**

- ☒ **AP** **ARIPO Patent:** GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ **EA** **Eurasian Patent:** AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ **EP** **European Patent:** AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ **OA** **OAPI Patent:** BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

**National Patent** (if other kind of protection or treatment desired, specify on dotted line):

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> <b>AE</b> United Arab Emirates                  | <input checked="" type="checkbox"/> <b>LC</b> Saint Lucia                               |
| <input checked="" type="checkbox"/> <b>AG</b> Antigua and Barbuda                   | <input checked="" type="checkbox"/> <b>LK</b> Sri Lanka                                 |
| <input checked="" type="checkbox"/> <b>AL</b> Albania                               | <input checked="" type="checkbox"/> <b>LR</b> Liberia                                   |
| <input checked="" type="checkbox"/> <b>AM</b> Armenia                               | <input checked="" type="checkbox"/> <b>LS</b> Lesotho                                   |
| <input checked="" type="checkbox"/> <b>AT</b> Austria                               | <input checked="" type="checkbox"/> <b>LT</b> Lithuania                                 |
| <input checked="" type="checkbox"/> <b>AU</b> Australia                             | <input checked="" type="checkbox"/> <b>LU</b> Luxembourg                                |
| <input checked="" type="checkbox"/> <b>AZ</b> Azerbaijan                            | <input checked="" type="checkbox"/> <b>LV</b> Latvia                                    |
| <input checked="" type="checkbox"/> <b>BA</b> Bosnia and Herzegovina                | <input checked="" type="checkbox"/> <b>MA</b> Morocco                                   |
| <input checked="" type="checkbox"/> <b>BB</b> Barbados                              | <input checked="" type="checkbox"/> <b>MD</b> Republic of Moldova                       |
| <input checked="" type="checkbox"/> <b>BG</b> Bulgaria                              | <input checked="" type="checkbox"/> <b>MG</b> Madagascar                                |
| <input checked="" type="checkbox"/> <b>BR</b> Brazil                                | <input checked="" type="checkbox"/> <b>MK</b> The former Yugoslav Republic of Macedonia |
| <input checked="" type="checkbox"/> <b>BY</b> Belarus                               | <input checked="" type="checkbox"/> <b>MN</b> Mongolia                                  |
| <input checked="" type="checkbox"/> <b>BZ</b> Belize                                | <input checked="" type="checkbox"/> <b>MW</b> Malawi                                    |
| <input checked="" type="checkbox"/> <b>CA</b> Canada                                | <input checked="" type="checkbox"/> <b>MX</b> Mexico                                    |
| <input checked="" type="checkbox"/> <b>CH and LI</b> Switzerland and Liechtenstein  | <input checked="" type="checkbox"/> <b>MZ</b> Mozambique                                |
| <input checked="" type="checkbox"/> <b>CN</b> China                                 | <input checked="" type="checkbox"/> <b>NO</b> Norway                                    |
| <input checked="" type="checkbox"/> <b>CR</b> Costa Rica                            | <input checked="" type="checkbox"/> <b>NZ</b> New Zealand                               |
| <input checked="" type="checkbox"/> <b>CU</b> Cuba                                  | <input checked="" type="checkbox"/> <b>PL</b> Poland                                    |
| <input checked="" type="checkbox"/> <b>CZ</b> Czech Republic                        | <input checked="" type="checkbox"/> <b>PT</b> Portugal                                  |
| <input checked="" type="checkbox"/> <b>DE</b> Germany                               | <input checked="" type="checkbox"/> <b>RO</b> Romania                                   |
| <input checked="" type="checkbox"/> <b>DK</b> Denmark                               | <input checked="" type="checkbox"/> <b>RU</b> Russian Federation                        |
| <input checked="" type="checkbox"/> <b>DM</b> Dominica                              | <input checked="" type="checkbox"/> <b>SD</b> Sudan                                     |
| <input checked="" type="checkbox"/> <b>DZ</b> Algeria                               | <input checked="" type="checkbox"/> <b>SE</b> Sweden                                    |
| <input checked="" type="checkbox"/> <b>EE</b> Estonia                               | <input checked="" type="checkbox"/> <b>SG</b> Singapore                                 |
| <input checked="" type="checkbox"/> <b>ES</b> Spain                                 | <input checked="" type="checkbox"/> <b>SI</b> Slovenia                                  |
| <input checked="" type="checkbox"/> <b>FI</b> Finland                               | <input checked="" type="checkbox"/> <b>SK</b> Slovakia                                  |
| <input checked="" type="checkbox"/> <b>GB</b> United Kingdom                        | <input checked="" type="checkbox"/> <b>SL</b> Sierra Leone                              |
| <input checked="" type="checkbox"/> <b>GD</b> Grenada                               | <input checked="" type="checkbox"/> <b>TJ</b> Tajikistan                                |
| <input checked="" type="checkbox"/> <b>GE</b> Georgia                               | <input checked="" type="checkbox"/> <b>TM</b> Turkmenistan                              |
| <input checked="" type="checkbox"/> <b>GH</b> Ghana                                 | <input checked="" type="checkbox"/> <b>TR</b> Turkey                                    |
| <input checked="" type="checkbox"/> <b>GM</b> Gambia                                | <input checked="" type="checkbox"/> <b>TT</b> Trinidad and Tobago                       |
| <input checked="" type="checkbox"/> <b>HR</b> Croatia                               | <input checked="" type="checkbox"/> <b>TZ</b> United Republic of Tanzania               |
| <input checked="" type="checkbox"/> <b>HU</b> Hungary                               | <input checked="" type="checkbox"/> <b>UA</b> Ukraine                                   |
| <input checked="" type="checkbox"/> <b>ID</b> Indonesia                             | <input checked="" type="checkbox"/> <b>UG</b> Uganda                                    |
| <input checked="" type="checkbox"/> <b>IL</b> Israel                                | <input checked="" type="checkbox"/> <b>US</b> United States of America                  |
| <input checked="" type="checkbox"/> <b>IN</b> India                                 | <input checked="" type="checkbox"/> <b>UZ</b> Uzbekistan                                |
| <input checked="" type="checkbox"/> <b>IS</b> Iceland                               | <input checked="" type="checkbox"/> <b>VN</b> Viet Nam                                  |
| <input checked="" type="checkbox"/> <b>JP</b> Japan                                 | <input checked="" type="checkbox"/> <b>YU</b> Yugoslavia                                |
| <input checked="" type="checkbox"/> <b>KE</b> Kenya                                 | <input checked="" type="checkbox"/> <b>ZA</b> South Africa                              |
| <input checked="" type="checkbox"/> <b>KG</b> Kyrgyzstan                            | <input checked="" type="checkbox"/> <b>ZW</b> Zimbabwe                                  |
| <input checked="" type="checkbox"/> <b>KP</b> Democratic People's Republic of Korea |   |
| <input checked="" type="checkbox"/> <b>KR</b> Republic of Korea                     |   |
| <input checked="" type="checkbox"/> <b>KZ</b> Kazakhstan                            |   |

Check-box reserved for designating States which have become party to the PCT after issuance of this sheet:



**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)



<b>Box No. VI PRIORITY CLAIM</b>		<input type="checkbox"/> Further priority claims are indicated in the Supplemental Box.		
Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country	regional application:* regional Office	international application: receiving Office
item (1) 30/7/1999 (30 July 1999)	9918077.0	GB		
item (2) 30/06/2000 (30 June 2000)	0016171.1	GB		
item (3)				
<input checked="" type="checkbox"/> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s): (1) & (2)				
<small>* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.</small>				
<b>Box No. VII INTERNATIONAL SEARCHING AUTHORITY</b>				
<b>Choice of International Searching Authority (ISA)</b> <small>(if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</small>		<b>Request to use results of earlier search; reference to that search</b> (if an earlier search has been carried out by or requested from the International Searching Authority):		
ISA /		Date (day/month/year)	Number	Country (or regional Office)
<b>Box No. VIII CHECK LIST; LANGUAGE OF FILING</b>				
This international application contains the following number of sheets: request : 4 description (excluding sequence listing part) : 47 claims : 7 abstract : 1 drawings : 11 sequence listing part of description : Total number of sheets : 70		This international application is accompanied by the item(s) marked below: 1. <input checked="" type="checkbox"/> fee calculation sheet 2. <input type="checkbox"/> separate signed power of attorney 3. <input type="checkbox"/> copy of general power of attorney, reference number, if any: 4. <input type="checkbox"/> statement explaining lack of signature 5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): 6. <input type="checkbox"/> translation of international application into (language): 7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material 8. <input type="checkbox"/> nucleotide and/or amino acid sequence listing in computer readable form 9. <input checked="" type="checkbox"/> other (specify): PF 23/77		
Figure of the drawings which should accompany the abstract:		Language of filing of the international application: ENGLISH		
<b>Box No. IX SIGNATURE OF APPLICANT OR AGENT</b>				
<small>Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).</small>				
_____ WOODS, Geoffrey Corlett				

For receiving Office use only	
1. Date of actual receipt of the purported international application: 3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application: 4. Date of timely receipt of the required corrections under PCT Article 11(2): 5. International Searching Authority (if two or more are competent): ISA /	2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received: 6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid.

For International Bureau use only
Date of receipt of the record copy by the International Bureau:





# PCT

## FEE CALCULATION SHEET Annex to the Request

For receiving Office use only

International application No.

Applicant's or agent's  
file reference N.75798B GCW

Date stamp of the receiving Office

Applicant  
UNIVERSITY COLLEGE LONDON

### CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE £ 55 T

2. SEARCH FEE £ 605 S

International search to be carried out by \_\_\_\_\_  
(If two or more International Searching Authorities are competent in relation to the international application, indicate the name of the Authority which is chosen to carry out the international search.)

### 3. INTERNATIONAL FEE

#### Basic Fee

The international application contains 70 sheets.

first 30 sheets £ 264 b1

40 x £6 = £240 b2

remaining sheets additional amount

Add amounts entered at b1 and b2 and enter total at B £504 B

#### Designation Fees

The international application contains all designations.

8 x £56 = £448 D

number of designation fees payable (maximum 8) amount of designation fee

Add amounts entered at B and D and enter total at I £952 I

(Applicants from certain States are entitled to a reduction of 75% of the international fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the sum of the amounts entered at B and D.)

4. FEE FOR PRIORITY DOCUMENT (if applicable) £ 44 P

5. TOTAL FEES PAYABLE £1656

Add amounts entered at T, S, I and P, and enter total in the TOTAL box

TOTAL

☐ The designation fees are not paid at this time.

### MODE OF PAYMENT

☐ authorization to charge  
deposit account (see below)

☐ bank draft

☐ coupons

☒ cheque

☐ cash

☐ other (specify):

☐ postal money order

☐ revenue stamps

### DEPOSIT ACCOUNT AUTHORIZATION (this mode of payment may not be available at all receiving Offices)

The RO/ ☐ is hereby authorized to charge the total fees indicated above to my deposit account.

☐ (this check-box may be marked only if the conditions for deposit accounts of the receiving Office so permit) is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account.

☐ is hereby authorized to charge the fee for preparation and transmittal of the priority document to the International Bureau of WIPO to my deposit account.

Deposit Account No.

Date (day/month/year)

Signature



# PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

## INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

To:

WOODS, Geoffrey, Corlett  
J.A. Kemp & Co.  
14 South Square  
Gray's Inn  
London WC1R 5LX  
ROYAUME-UNI  
REC'D 17 APR 2001  
Action by.....

Date of mailing (day/month/year) 06 April 2001 (06.04.01)		IMPORTANT INFORMATION	
Applicant's or agent's file reference N.75798B GCW			
International application No. PCT/GB00/02932	International filing date (day/month/year) 28 July 2000 (28.07.00)	Priority date (day/month/year) 30 July 1999 (30.07.99)	
Applicant UNIVERSITY COLLEGE LONDON et al			

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP : GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW  
EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE  
National : AU, BG, CA, CN, CZ, DE, IL, JP, KP, KR, MN, NO, NZ, PL, RO, RU, SE, SK, US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM  
OA : BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG  
National : AE, AG, AL, AM, AT, AZ, BA, BB, BR, BY, BZ, CH, CR, CU, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IN, IS, KE, KG, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MW, MX, MZ, PT, SD, SG, SI, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No. (41-22) 740.14.35	Authorized officer: Juan Cruz Telephone No. (41-22) 338.83.38
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## PATENT COOPERATION TREATY

MCC

PCT

From the INTERNATIONAL BUREAU

NOTICE INFORMING THE APPLICANT OF THE  
COMMUNICATION OF THE INTERNATIONAL  
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

To:

WOODS, Geoffrey, Corlett  
J.A. Kemp & Co.  
14 South Square  
Gray's Inn  
London WC1R 5LX  
ROYAUME-UNI

Date of mailing (day/month/year)

08 February 2001 (08.02.01)

Applicant's or agent's file reference

N.75798B GCW

## IMPORTANT NOTICE

International application No.

PCT/GB00/02932

International filing date (day/month/year)

28 July 2000 (28.07.00)

Priority date (day/month/year)

30 July 1999 (30.07.99)

Applicant

UNIVERSITY COLLEGE LONDON et al

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:

AU,KP,KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AG,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,BZ,CA,CH,CN,CR,CU,CZ,DE,DK,DM,DZ,EA,EE,EP,ES,  
FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,  
MN,MW,MX,MZ,NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,  
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 08 February 2001 (08.02.01) under No. WO 01/09375

## REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

## REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

J. Zahra

Telephone No. (41-22) 338.83.38



# PATENT COOPERATION TREATY

**PCT**

**NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT**

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

WOODS, Geoffrey, Corlett  
J.A. Kemp & Co.  
14 South Square  
Gray's Inn  
London WC1R 5LX  
ROYAUME-UNI

**J. A. KEMP & Co**

**23 OCT 2000**

Action by *GCW/MCC*

Date of mailing (day/month/year) 13 October 2000 (13.10.00)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference N.75798B GCW	International filing date (day/month/year) 28 July 2000 (28.07.00)
International application No. PCT/GB00/02932	Priority date (day/month/year) 30 July 1999 (30.07.99)
International publication date (day/month/year) Not yet published	Applicant UNIVERSITY COLLEGE LONDON et al

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
30 July 1999 (30.07.99)	9918077.0	GB	23 Augu 2000 (23.08.00)
30 June 2000 (30.06.00)	0016171.1	GB	23 Augu 2000 (23.08.00)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer  <div style="text-align: right;">R. Chrem</div> <div style="text-align: right;"> </div> Telephone No. (41-22) 338.83.38
--	--





From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

WOODS, Geoffrey Corlett et al  
J.A.KEMP & CO  
14 South Square  
Gray's Inn,  
London, WC1R 5LX  
GRANDE BRETAGNE

J.A. KEMP & Co.

Rec'd. 10 JUL 2001

Action by.....

PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 71.1)

Date of mailing  
(day/month/year) 06.12.2001

Applicant's or agent's file reference  
N.75798B GCW

IMPORTANT NOTIFICATION

International application No.  
PCT/GB00/02932

International filing date (day/month/year)  
28/07/2000

Priority date (day/month/year)  
30/07/1999

Applicant  
UNIVERSITY COLLEGE LONDON et al

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

 European Patent Office  
D-80298 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu d  
Fax: +49 89 2399 - 4465

Authorized officer

Digiusto, M

Tel.+49 89 2399-8162





# PATENT COOPERATION TREATY

**PCT**

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
US Department of Commerce  
United States Patent and Trademark  
Office, PCT  
2011 South Clark Place Room  
CP2/5C24  
Arlington, VA 22202  
ETATS-UNIS D'AMERIQUE  
in its capacity as elected Office

<b>Date of mailing (day/month/year)</b> 06 April 2001 (06.04.01)	
<b>International application No.</b> PCT/GB00/02932	<b>Applicant's or agent's file reference</b> N.75798B GCW
<b>International filing date (day/month/year)</b> 28 July 2000 (28.07.00)	<b>Priority date (day/month/year)</b> 30 July 1999 (30.07.99)
<b>Applicant</b> CHARLES, Ian, George et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

27 February 2001 (27.02.01)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<b>The International Bureau of WIPO</b> 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	<b>Authorized officer</b>  Juan Cruz  Telephone No.: (41-22) 338.83.38
--	--



(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
8 February 2001 (08.02.2001)

PCT

(10) International Publication Number  
**WO 01/09375 A2**

(51) International Patent Classification<sup>7</sup>: **C12Q 1/68**,  
C07K 14/47, C12N 5/10, 15/63, A61K 38/00

Research, The Cruciform Building, University College  
London, Gower Street, London WC1E 6BT (GB).

(21) International Application Number: **PCT/GB00/02932**

(74) Agent: **WOODS, Geoffrey, Corlett; J.A. Kemp & Co.**, 14  
South Square, Gray's Inn, London WC1R 5LX (GB).

(22) International Filing Date: **28 July 2000 (28.07.2000)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:  
9918077.0 30 July 1999 (30.07.1999) GB  
0016171.1 30 June 2000 (30.06.2000) GB

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(71) Applicant (*for all designated States except US*): **UNI-  
VERSITY COLLEGE LONDON** [GB/GB]; Gower  
Street, London WC1E 9BT (GB).

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG,  
CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **CHARLES, Ian**,  
George [GB/GB]; The Wolfson Institute for Biomedical  
Research, The Cruciform Building, University College  
London, Gower Street, London WC1E 6BT (GB). **XU**,  
Weiming [GB/GB]; The Wolfson Institute for Biomedical  
Research, The Cruciform Building, University College  
London, Gower Street, London WC1E 6BT (GB). **LIU**,  
Lizhi [GB/GB]; The Wolfson Institute for Biomedical

**Published:**

— *Without international search report and to be republished  
upon receipt of that report.*

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: **INDUCIBLE SCREEN FOR DRUG DISCOVERY**

(57) Abstract: A method for identifying a polynucleotide, the expression of which is modulated in the presence of nitric oxide (NO), which method comprises: (i) providing an mRNA or cDNA population from cells which contain a polynucleotide construct, which construct comprises: (a) a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; or (b) a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; (ii) providing an mRNA or cDNA population from cells as defined in step (i), said cells having been contacted with ecdysone or an analog thereof; and (iii) comparing the populations of steps (i) and (ii), thereby to determine which polynucleotides show modulated expression in the presence of NO.

**WO 01/09375 A2**



## **INDUCIBLE SCREEN FOR DRUG DISCOVERY**

### 5 **Field of the Invention**

This invention relates to methods for identifying genes which are differentially expressed in the presence of nitric oxide. It also relates to cancer therapy.

### 10 **Background to the Invention**

Nitric oxide (NO) is a pleiotropic signal molecule which has been identified as a mediator for a wide range of physiological and pathophysiological events. The diverse cellular signalling properties of NO are in part, due to redox-sensitive interactions with metal and thiol containing proteins. One major downstream target is the enzyme soluble guanylyl cyclase (sGC). NO binding to the heme domain of sGC results in a 200-400 fold increase in enzymatic activity, leading to an increase in the concentration of the intracellular messenger molecule cGMP.

It has also been shown that NO can mediate some of its biological effects through mechanisms involving the transcriptional regulation of a number of molecules including the p21<sup>WAF1</sup> cyclin dependent kinase inhibitor and, in the presence of calcium, c-fos and c-jun. In addition, NO can also increase p53 protein concentration. This results in an increase in p53 stability and is likely to have effects on the transcription of p53-regulated genes. NO can also transcriptionally regulate the expression of the vascular endothelial growth factor, VEGF.

25 We are interested in the effects of NO on gene expression, and particularly in how an analysis of this process may help uncover some of the roles of NO in disease and health. This approach has enormous potential for the identification of novel genes in a variety of disease states as these NO regulated genes are likely to constitute novel targets for drug development strategies.

### Summary of the Invention

In order to examine the role of NO on gene expression we have used a differential display protocol, involving the differential hybridization of mRNA-derived probes to normalized cDNA arrays.

5           Using this approach, we have shown that NO can upregulate DNA-dependent protein kinase (DNA-PK) activity by increasing transcription of the DNA-dependent protein kinase (DNA-PKcs) gene.

To test the biological significance of this upregulation we exposed cells to high doses of DNA damaging agents, such as NO donors, bleomycin, adriamycin, cisplatin, UV-C irradiation and X-ray irradiation. We have shown that NO  
10           generating cells, with increased levels of DNA-PK, are protected against UV-C, X-ray irradiation, bleomycin, adriamycin, cisplatin, as well as to high concentrations of NO donors. Remarkably, however, a NOS inhibitor or a DNA-PK inhibitor can abolish this protection.

15           These results are highly significant in the context of cancer therapy. The finding that human cancer cells express NOS indicates that NO may play a pathophysiological role in promoting tumor growth and in protecting tumors from agents that cause DNA damage. NO production in cancer cells may thus confer resistance to chemotherapeutic drugs, such as bleomycin and cisplatin, and to  
20           radiotherapy on those cells.

Acquired drug resistance is a major problem in cancer treatment. Our findings suggest that NO production may underlie resistance to some widely used cancer therapies. These findings open up a totally new strategy for cancer therapy, suggesting that administration of DNA-damaging drugs in combination with  
25           inhibitors of NOS or DNA-PK can sensitize NO-producing tumor cells that would otherwise be resistant to DNA-damaging drugs or radiation.

According to the present invention there is thus provided a method for identifying a polynucleotide, the expression of which is modulated in the presence of  
30           nitric oxide (NO), which method comprises:

- (i)       providing an mRNA or cDNA population from cells which contain a



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polynucleotide construct, which construct comprises:

- (a) a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; or
  - (b) a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof;
- 10 (ii) providing an mRNA or cDNA population from cells as defined in step (i), said cells having been contacted with ecdysone or an analog thereof; and
- (iii) comparing the populations of steps (i) and (ii), thereby to determine which polynucleotides show modulated expression in the presence of
- 15 NO.

The invention also provides:

- use of a polynucleotide identified by a method for identifying a polynucleotide, the expression of which is modulated in the presence of nitric oxide (NO), in a method for identifying an inhibitor or stimulator of transcription and/or translation of the polynucleotide and/or activity of the polypeptide encoded by that polynucleotide;
  - a method for identifying:
    - (i) an inhibitor or stimulator of transcription and/or translation of a polynucleotide identified by a method for identifying a polynucleotide, the expression of which is modulated in the presence of nitric oxide (NO); and/or
    - (ii) an inhibitor or stimulator of activity of a polypeptide encoded by a said polynucleotide,
- 25
- 30 which method comprises determining whether a test substance can inhibit or stimulate transcription and/or translation of the polynucleotide and/or activity

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of a polypeptide encoded by a said polynucleotide.

- an inhibitor or stimulator identified by a method for identifying:
  - (i) an inhibitor or stimulator of transcription and/or translation of a polynucleotide identified by a method for identifying a polynucleotide, the expression of which is modulated in the presence of nitric oxide (NO); and/or
  - (ii) an inhibitor or stimulator of activity of a polypeptide encoded by a said polynucleotide.

- an inhibitor or stimulator of the invention for use in a method of treatment of the human or animal body by therapy;

- a polynucleotide construct comprising:

- (a) a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; or
- (b) a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof;

- a vector which incorporates a polynucleotide construct of the invention;
- a cell which harbours a polynucleotide construct of the invention or a vector of the invention;
- products containing an NOS inhibitor and a DNA damaging agent as a combined preparation for simultaneous, separate or sequential use in the treatment of cancer;
- products containing an DNA repair enzyme inhibitor and a DNA damaging agent as a combined preparation for simultaneous, separate or sequential use in the treatment of cancer;
- use of an NOS inhibitor in the manufacture of a medicament for use with a DNA damaging agent in the treatment of cancer;
- use of a DNA repair enzyme inhibitor in the manufacture of a medicament for

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- use with a DNA damaging agent in the treatment of cancer;
- a method of treating a host suffering from a cancer, which method comprises administering to the host therapeutically effective amounts of an NOS inhibitor and a DNA damaging agent;
  - 5    - a method of treating a host suffering from a cancer, which method comprises administering to the host therapeutically effective amounts of a DNA repair enzyme inhibitor and a DNA damaging agent;
  - use of an NOS inhibitor in the manufacture of a medicament for use in the treatment of retroviral infection;
  - 10   - use of an NOS inhibitor in the manufacture of a medicament for use with a PI 3-kinase like kinase inhibitor in the treatment of retroviral infection;
  - products containing an NOS inhibitor and a PI 3-kinase like kinase inhibitor as a combined preparation for simultaneous, separate or sequential use in the treatment of retroviral infection;
  - 15   - a method of treating a host suffering from retroviral infection, which method comprises administering to the host a therapeutically effective amount of an NOS inhibitor; and
  - a method of treating a host suffering from retroviral infection, which method comprises administering to the host therapeutically effective amounts of an
  - 20    NOS inhibitor and a PI-3 kinase like kinase inhibitor.

#### **Brief description of the Drawings**

Figure 1(a) shows the plasmid map for pIND-hiNOS-f (human iNOS); Figure 1(b) shows the plasmid map for pIND-hnNOS-f (human nNOS); and Figure 1 (c) 25 shows the plasmid map for p-IND-heNOS-f (human eNOS). Figure 1(d) shows the plasmid map of pTet-hiNOS-f (human iNOS).

Figure 2(a) shows generation of NO by EcR293 clone 11, following treatment with muristerone A. EcR293 clone 11 cells were grown with varying concentrations 30 of muristerone A and at different time intervals supernatants were taken and the Griess reaction was used to measure the nitrite concentration. Figure 2(b) shows

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Northern and Western blots of carried out on extracts from cells treated with either 1 $\mu$ M or 10 $\mu$ M muristerone A. Extracts were also taken from cells grown in the absence of muristerone A. For Northern blots, filters were probed with a human iNOS cDNA and hybridisation with human  $\beta$ -actin was used as a loading control.

5 For Western blots, filters were probed with a polyclonal antibody raised against the 7 C-terminal residues of human iNOS: Cyc-Arg-Nle-Orn- (Ser-Leu-Glu-Met-Ser-Ala-Leu). The filters were subsequently stripped and re-probed with an anti- human  $\alpha$ -tubulin antibody as a loading control.

10 Figure 3 shows generation of NO in a panel of cell lines transfected with pTet-hiNOS-f. Cells were treated with 1 $\mu$ g/ml tetracycline for 24h and NOS activity was assessed by assaying for accumulated nitrite using the Griess reaction.

Figure 4 shows Northern blot analysis for DNA-PKcs. PolyA<sup>+</sup> mRNA was  
15 extracted from cells grown in the absence of NO (none) and in its presence following treatment with 1 $\mu$ M and 10 $\mu$ M muristerone A, and either with or without the NOS inhibitor L-NIO (20 $\mu$ M) for 24 hr. Figure 4(a) shows that DNA-PKcs mRNA is significantly increased in the presence of NO. Figure 4(b) shows that the level of DNA-PKcs is reduced by addition of the NOS inhibitor L-NIO (20 $\mu$ M).

20 The DNA-PK signal is expressed as a percentage ( $\pm$ S.D) of the  $\beta$ -actin signal as an average from three separate experiments.

Figure 5 shows Western blot analysis of DNA-PKcs expression. Figure 5(a), total cell extracts were separated by electrophoresis and immunoblotted with anti-  
25 DNA-PKcs antibody. The order of the tracks are: untreated (none), treated with 1 $\mu$ M muristerone A, 5 $\mu$ M muristerone A, 10 $\mu$ M muristerone A and 10 $\mu$ M muristerone A in the presence of 20 $\mu$ M L-NIO. Figure 5(b) shows nuclear lysates. The order of the tracks are: untreated cells (none) and cells treated with 1 $\mu$ M and 10 $\mu$ M muristerone A.

30 The filters were stripped and reprobed with an antibody against Ku-80 as a control for equal loading (Figure 5(a) and 5(b), bottom panels).

Figure 6 shows DNA-PK pulldown peptide assays. Figure 6(a) shows samples prepared from control EcR293 clone 11 cells, and cells expressing NO after treatment with 1 $\mu$ M or 10 $\mu$ M muristerone A in the presence of 20 $\mu$ M L-NIO.

Peptides derived from wild type and mutant p53 peptide were used as substrate.

5 Figure 6(b) shows DNA-PK activity in nuclear extracts prepared from cells treated with muristerone A as described above. Averages and S.D values from three independent experiments are shown.

Figure 7 shows protection of NO-generating cells from X-ray irradiation.

10 Survival rates of EcR293 clone-11 cells two weeks after X-ray irradiation are shown. Open squares, untreated cells; diamonds, control untransfected cells treated with 10 $\mu$ M muristerone A; closed squares, iNOS-expressing cells treated with 10 $\mu$ M muristerone A. Values are means  $\pm$ s.d from two separate experiments, each with two replications.

15

Figure 8 shows protection of muristerone A induced cells from DNA damaging agents. Figure 8(a) shows the viability of muristerone (10 $\mu$ M) induced EcR293 clone 11 cells following treatment with SNAP, bleomycin, adriamycin and cisplatin as judged by the trypan blue assay after a 72hr treatment. Figure 8(b) shows  
20 cisplatin resistance of muristerone A induced EcR293 clone 11 cells and sensitization to 20 $\mu$ M wortmannin. Figure 8(c) shows dose-dependent death of EcR293 clone 11 cells following UV-C irradiation. Figure 8(d) shows UV-C irradiation of human EcR293 clone 11 cells: UV-C irradiation of EcR293 clone 11 cells (120mJ/cm<sup>2</sup>) following treatment with 1 $\mu$ M, 10 $\mu$ M and 10 $\mu$ M muristerone A in the presence of  
25 20 $\mu$ M L-NIO, 10 $\mu$ M muristerone A in the presence of 20 $\mu$ M wortmannin (WM). The cells were incubated for a further 24hr and cell viability was judged by trypan blue exclusion and lactate dehydrogenase activity assays. The last column represents the data for cells cultured in 10 $\mu$ M muristerone A and treated with 20 $\mu$ M wortmannin in the absence of UV-C irradiation. Comparisons were made between  
30 means $\pm$ SD(n=4) of uninduced cells and cells treated with 10 $\mu$ M muristerone A. \*\*, P<0.01.

## Detailed Description of the Invention

### Constructs, Vectors and Cells

5 Steroid hormones are small hydrophobic molecules that can diffuse through the plasma membrane of cells where they can bind reversibly to specific steroid-hormone-receptor proteins in the cytoplasm or nucleus. The binding of hormone activates the receptor, enabling it to bind with high affinity to specific DNA sequences that act as transcriptional enhancers. This binding increases the level of  
10 transcription from certain nearby genes.

A pulse of the insect steroid hormone ecdysone triggers metamorphosis in *Drosophila melanogaster*, showing effects such as chromosomal puffing within minutes of hormone addition. Mediating this response is the functional ecdysone receptor, which is a heterodimer of the ecdysone receptor (EcR) and the product of  
15 the ultraspiracle gene (USP).

Insect hormone responsiveness can be recreated in cultured mammalian cells by cotransfection of a cell with a functional ecdysone receptor (a heterodimer of EcR and USP) and an ecdysone responsive construct and treatment of the cell with ecdysone or an analog thereof.

20 A tetracycline responsive system can be created in cultured mammalian cells by cotransfection of a cell with a plasmid encoding a tetracycline repressor protein (tetR) and a plasmid containing a tetracycline responsive element linked to a promoter. The promoter sequence is used to drive heterologous gene expression. The tetracycline responsive element comprises particular DNA sequences called  
25 tetracycline operator sites, which can bind a homodimer of tetR. If those sequences are positioned between a promoter and a coding sequence in a construct, the presence of tetR bound to a tetracycline operator site will prevent the promoter driving expression of the coding sequence. However, when tetracycline is added to cells the tetracycline binds to tetR homodimers leading to a conformational change in tetR,  
30 such that it is unable to bind a tetracycline operator site. The tetR:tetracycline complex dissociates from the Tet operator site and allows the promoter to drive

expression of the coding sequence.

*(i) Constructs*

5 The invention provides polynucleotide constructs which are responsive to ecdysone or an analog thereof. The invention also provides polynucleotide constructs which are responsive to tetracycline or an analog thereof.

10 The ecdysone responsive constructs comprise a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence codes for a NOS or a functional variant or fragment thereof.

The tetracycline responsive constructs comprise a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence codes for a NOS or a functional variant or fragment thereof.

15 The constructs may comprise DNA or RNA. They may also include within them synthetic or modified nucleotides. A number of different types of modification to polynucleotides are known in the art. These include methylphosphonate and phosphorothioate backbones, addition of acridine or polylysine chains at the 3' and/or 5' ends of the molecule. For the purposes of the invention, it is to be understood that  
20 the constructs described herein may be modified by any method available in the art. Such modifications may be carried out in order to enhance the *in vivo* activity or lifespan of constructs of the invention. Constructs of the invention may be produced recombinantly, synthetically, or by any means available to those of skill in the art.

25 The term "operably linked" refers to a juxtaposition wherein the components described are in a relationship permitting them to function in their intended manner. Thus, a regulatory sequence, such as a promoter, "operably linked" to a coding sequence is positioned in such a way that expression of the coding sequence is achieved under conditions compatible with the regulatory sequence.

30 A promoter for use in an ecdysone-responsive construct of the invention may be any promoter which can drive the transcription of a coding sequence to which it is operably linked in the presence of the steroid hormone ecdysone or an analog thereof.

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The promoter may be a naturally occurring promoter from a *Drosophila melanogaster* or other insect ecdysone-responsive gene. Alternatively, the promoter may be a non-naturally occurring promoter. A non-naturally occurring promoter may be used which comprises a minimal promoter and an ecdysone-responsive element (EcRE). An EcRE is a nucleotide sequence to which a functional ecdysone receptor can bind in the presence of ecdysone. Suitable minimal promoters include the minimal heat shock promoter.

An ecdysone-responsive promoter may comprise more than one EcRE, for example 2 to 10 elements or more preferably 4 to 6 elements. The sequence of an EcRE will depend on the exact functional ecdysone receptor used. If a modified functional ecdysone receptor is used (see below) it may be appropriate to use a modified EcRE (see No *et al.*, *Proc. Natl. Acad. Sci. USA*, 93: 3346-3351). The EcRE(s) and minimal promoter sequences do not have to be immediately adjacent. Because EcREs function as transcriptional enhancers, they can be placed some distance upstream, for example from 1, 10 or 25 nucleotides to 30, 40, 50, 100, 500 or 1000kb upstream of a minimal promoter. EcREs could even be placed further than 1kb upstream of a minimal promoter. Generally, if multiple copies of an EcRE are used, the multiple copies will be arranged in an array, one after the other.

Constructs of the invention may be responsive to ecdysone [(2 $\beta$ , 3 $\beta$ , 5 $\beta$ , 22R)-2,3,14,22,25-pentahydroxycholest-7-en-6-one] or an analog thereof. Suitable analogs of ecdysone for use in the invention include muristerone A [2 $\beta$ , 3 $\beta$ , 5 $\alpha$ , 11 $\alpha$ , 14, 20, 22-heptahydroxy-5 $\beta$ , 7-cholesten-6-one] or ponasterone A [(2 $\beta$ , 3 $\beta$ , 5 $\beta$ , 22R)-2, 3, 14, 20, 22, 25-pentahydroxycholest-7-en-6-one] and GS<sup>TM</sup>-E (Invitrogen, San Diego, CA; see also Dhadialla *et al.*, 1998, *Ann. Rev. Entomol.* 43: 545-569).

A promoter for use in an tetracycline-responsive construct of the invention may be any promoter which can drive the transcription of a coding sequence to which it is operably linked in the presence of the antibiotic tetracycline or an analog thereof.

Generally, the choice of promoter will depend on the host cell to be used for expression of the coding sequence. Typically, expression in mammalian cells, for example human cells will be required and thus a mammalian promoter will be



preferred. Mammalian promoters, such as  $\beta$ -actin promoters, may be used. Tissue-specific promoters may be used. Viral promoters may also be used, for example the Moloney murine leukaemia virus long terminal repeat (MMLV LTR), the rous sarcoma virus (RSV) LTR promoter, the SV40 promoter, the human cytomegalovirus (CMV) IE promoter, adenovirus, HSV promoters (such as the HSV IE promoters), or HPV promoters, particularly the HPV upstream regulatory region (URR). Viral promoters are readily available in the art. Constitutive promoters, for example the CMV promoter, are preferred.

Tetracycline-responsive constructs comprise one or more tetracycline operator site (TetO<sub>2</sub>) sequences, situated between the promoter and coding sequence. For example, two, three, four or even up to ten TetO<sub>2</sub> sequences may be used. Typically, if more than one TetO<sub>2</sub> site is used those sites will be arranged in the form of an array. However, other intervening nucleotide sequences may be situated between individual TetO<sub>2</sub> sites. For example one, two, three, four, five, up to ten or up to 15 nucleotides may intervene between any two TetO<sub>2</sub> sites.

The TetO<sub>2</sub> sequence is 5'-TCCCTATCAGTGATAGAGA-3' (Hillen and Berens, 1994, *Annu. Rev. Microbiol.* **48**, 345-369; Hillen *et al.*, 1983, *J. Mol. Biol.* **169**, 707-721) or a functional variant thereof. The TetO<sub>2</sub> sequence or a functional variant thereof is capable of being bound by a homodimer of tetR or a functional variant thereof.

A functional variant of the TetO<sub>2</sub> sequence is a sequence which is similar to that of the TetO<sub>2</sub> sequence and which remains capable of binding a homodimer of tetR or a functional variant thereof. The affinity of tetR for the TetO<sub>2</sub> sequence is  $K_B = 2 \times 10^{11} \text{ M}^{-1}$  (as measured under physiological conditions), where  $K_B$  is the binding constant (Hillen and Berens, 1994, *supra*). The binding affinity of tetR for a functional variant of the TetO<sub>2</sub> sequence may be substantially the same as that of tetR for the TetO<sub>2</sub> sequence. Alternatively, tetR may have a binding affinity for a functional variant of the TetO<sub>2</sub> sequence which is greater or less than that of tetR for the TetO<sub>2</sub> sequence. For example, the affinity of tetR for a functional variant of the TetO<sub>2</sub> sequence may be from  $K_B = 2 \times 10^9 \text{ M}^{-1}$  to  $2 \times 10^{13} \text{ M}^{-1}$  or more preferably from  $2 \times 10^{11} \text{ M}^{-1}$  to  $2 \times 10^{12} \text{ M}^{-1}$ .

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A functional variant of TetO<sub>2</sub> typically comprises a sequence substantially similar to that of the TetO<sub>2</sub> sequence. Thus, a functional variant of TetO<sub>2</sub> will generally have at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 98% or at least 99% sequence identity to the TetO<sub>2</sub> sequence, calculated over the full length of those sequences.

A functional variant of the TetO<sub>2</sub> sequence may be a modified version of that sequence obtained by, for example, nucleotide substitution or deletion. Up to 1, up to 2, up to 3, up to 4, up to 5, up to 6 or more nucleotide substitutions or deletions or combinations thereof may be made to the TetO<sub>2</sub> sequence to produce a functional variant of that sequence.

Constructs of the invention may be responsive to the antibiotic tetracycline or an analog thereof. Tetracycline binds to tetR homodimers, such that the tetR:tetracycline complex dissociates from the TetO<sub>2</sub> sequence. The association constant of tetracycline to tetR is  $3 \times 10^9 \text{ M}^{-1}$ . Preferred analogs of tetracycline will have an association constant substantially similar to or greater than that of tetracycline for tetR. Suitable analogs of tetracycline include doxycycline. Doxycycline exhibits similar dose response and induction characteristics with constructs of the invention, but has a longer half-life than tetracycline (48 hours vs. 24 hours respectively).

The coding sequence used in both ecdysone- and tetracycline-responsive constructs of the invention can be any sequence which encodes a NOS or a functional variant thereof. The phrase "nitric oxide synthase" is intended to include all naturally occurring forms of iNOS, nNOS and eNOS as well as variants which retain NOS activity, for example variants produced by mutagenesis techniques. Preferably the coding sequence encodes a NOS of mammalian origin for example rodent (including rat and mouse) or human. Most preferably the coding sequence encode the human iNOS (GenBank accession number: X73029, Coding sequence 226-3687), human nNOS (GenBank accession number: U17327, Coding sequence 686-4990) or human eNOS (GenBank accession number: M95296, Coding sequence 21-3632) or a functional variant of any one of those enzymes.

A functional variant of a NOS is any polypeptide which demonstrates NOS

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activity, for example a fragment of a NOS. A coding sequence which codes for a functional variant of a NOS may be, for example a fragment of a full length NOS coding sequence. A fragment may be of any length, so long as the polypeptide for which it codes has NOS activity.

5 A functional variant of a NOS typically comprises a sequence substantially similar to that of the naturally occurring form of the relevant NOS sequence. Thus, a functional variant of a NOS will generally have at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 98% or at least 99% sequence identity to the relevant NOS sequence, calculated over the full length of those sequences.

10 Thus, the coding sequence may be modified by nucleotide substitutions or deletions. For example up to 1, 2 or 3 to 10, 25, 50, 75 or 100 substitutions or deletions or combinations thereof may be made to produce a functional variant of a NOS. A polynucleotide encoding a NOS may alternatively or additionally be modified by one or more insertions and/or deletions and/or by an extension at either  
15 or both ends. The modified polynucleotide generally encodes for a polypeptide which has NOS activity. Degenerate substitutions may be made and/or substitutions may be made which would result in a conservative amino acid substitution when the modified sequence is translated, for example as shown in the Table below. Amino acids in the same block in the second column and preferably in the same line in the  
20 third column may be substituted for each other.

ALIPHATIC	Non-polar	G A P
		I L V
	Polar-uncharged	C S T M
		N Q
	Polar-charged	D E
		K R
AROMATIC		H F W Y

25 Sequence identity may be calculated as follows. The UWGCG Package provides the BESTFIT program which can be used to calculate identity (for example

used on its default settings) (Devereux *et al* (1984) *Nucleic Acids Research* **12**, p387-395). The PILEUP and BLAST algorithms can be used to calculate identity or line up sequences (typically on their default settings), for example as described in Altschul S. F. (1993) *J Mol Evol* 36:290-300; Altschul, S, F *et al* (1990) *J Mol Biol* 215:403-10. Software for performing BLAST analyses is publicly available through the National Centre for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/>).  
(ii) *Vectors*

Both types of construct of the invention can be incorporated into a recombinant replicable vector. The vector may be used to replicate the construct in a compatible host cell. A vector may also provide for expression of the NOS coding sequence when the vector is harboured by an appropriate host cell. The vectors may be for example, plasmid, virus or phage vectors provided with an origin of replication and optionally a regulator of the ecdysone-responsive promoter or promoter used in a tetracycline-responsive construct.

The vectors may contain one or more selectable marker genes, for example an ampicillin resistance gene for selection in bacterial cells or a G418 or a zeocin resistance gene for selection in mammalian cells.

(iii) *Cells*

Vectors of the invention, which incorporate an ecdysone-inducible construct, may be introduced into a suitable host cell by any appropriate transformation or transfection technique.

Preferably, the host cell will permit the expression of the NOS coding sequence. Thus, the cells may be chosen to be compatible with the said vector and may be for example bacterial, yeast, insect or mammalian cells. For NOS gene expression to be induced in the presence of ecdysone or an analog thereof, a cell harbouring an ecdysone inducible construct must preferably also be capable of expressing a functional ecdysone receptor.

As described above, the wild type *Drosophila* functional ecdysone receptor is a heterodimer of the ecdysone receptor (EcR) and the product of the ultraspiracle gene (USP). Thus cells of the invention may be capable of expressing EcR and USP.

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However, replacement of EcR's natural heterodimeric partner USP with its mammalian homologue retinoid X receptor gives a heterodimer which can give more potent induction of an ecdysone responsive promoter. Thus cells of the invention may be capable of expressing EcR and RXR. It will be clear that cells of the invention may be capable of expressing functional variants of either subunit of the heterodimer. Functional variants of EcR and USP/RXR are polypeptides which can heterodimerise with their partner and can, when heterodimerised, allow ecdysone-responsive dimerisation to occur. In some cases functional variants may bind to non-wild type EcREs. Examples of functional variants and modified EcREs are described in No *et al. Proc. Natl. Acad. Sci. USA*, 93: 3346-3351.

Preferred cells for use in the invention are human cells. Particularly preferred cells are EcR293 cells (Invitrogen, San Diego, CA; Catalogue No: R650-07; EcR293 is a derivative of the human fetal kidney cell line HEK293 (ECACC accession number 85/20602)). EcR293 cells are particularly suitable as they stably transformed with the vector pVgRXR. That vector is capable of expressing a functional variant of EcR, VgEcR, and RXR in mammalian cells and thus allows the expression of a functional ecdysone receptor. Other suitable cell lines include EcR-CHO and EcR-3T3 (Invitrogen, San Diego, CA; Cat. Nos: R660-07 and R680-07 respectively). Those two cell lines are stably transformed with the same vector, pVgRXR, as the EcR293 cell line.

Vectors of the invention, which incorporate an tetracycline-inducible construct, may be introduced into a suitable host cell by any appropriate transformation or transfection technique.

Preferably, the host cell will permit the expression of the NOS coding sequence in the presence of tetracycline or an analog thereof. Thus, the cells may be chosen to be compatible with the said vector and may be for example bacterial, yeast, insect or mammalian cells. For NOS gene expression to be regulated such that expression does not occur in the absence of tetracycline, a cell harbouring a tetracycline-inducible construct must preferably also be capable of expressing the tetracycline repressor protein (tetR) or a functional variant thereof.

A functional variant of tetR is a polypeptide which is similar to tetR and

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which remains capable of binding, as a homodimer, the TetO<sub>2</sub> site or a functional variant thereof and tetracycline or an analog thereof. Typically, the binding affinity of a functional variant sequence of tetR for the TetO<sub>2</sub> site or a functional variant thereof or tetracycline or an analog thereof may be substantially the same as the binding affinity of the tetR polypeptide for the TetO<sub>2</sub> site or a functional variant thereof or tetracycline or an analog thereof. Alternatively, a functional variant sequence may have a binding affinity which may be greater or less than that of the tetR polypeptide.

The *TetR* gene encodes a repressor protein of 207 amino acids with a calculated molecular weight of 23 kDa (Hillen and Berens, 1994, *supra*). A functional variant of tetR typically comprises an amino acid sequence substantially similar to that of the tetR sequence. Thus, a functional variant of a tetR will generally have at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 98% or at least 99% sequence identity to tetR, calculated over the full length of those sequences. The calculation of sequence identities is described above.

A functional variant of the tetR sequence may be a modified version of that sequence obtained by, for example, amino acid substitution or deletion. Up to 1, up to 10, up to 20, up to 50, up to 75, up to 100 or more amino acid substitutions or deletions or combinations thereof may be made to the tetR sequence to produce a functional variant of that sequence. Substitutions are preferably made which result in a conservative amino acid substitution, for example as shown in the Table above.

Preferred cells for use in the invention are human cells. Particularly preferred cells are T-REx cells (Invitrogen, San Diego, CA; Catalogue Nos: R710-07, R712-07, R714-07 and R716-07). T-Rex cells are particularly suitable as they stably transformed with the plasmid pcDNA6/TR which generates high level expression of the tetR polypeptide. However, any cell line can be used which expresses tetR or a functional variant thereof.

#### Methods for identifying a polynucleotide the expression of which is stimulated or inhibited by nitric oxide (NO)

The invention provides a method for identifying a polynucleotide, the

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expression of which is stimulated or inhibited by nitric oxide. Such a polynucleotide is a polynucleotide or polypeptide which is present in a greater or lesser amount in the presence of nitric oxide as when compared with the amount present in the absence of nitric oxide. Such polynucleotides may be referred to as differentially expressed polynucleotides.

A polynucleotide whose expression is stimulated or inhibited in the presence of nitric oxide will be so typically because of stimulation or inhibition of transcription and/or translation. The identification of a polynucleotide, the expression of which is stimulated or inhibited by nitric oxide will typically allow the isolation of the gene which corresponds to the polynucleotide identified. The term "gene" means a coding sequence together with its regulatory sequences such as promoters, enhancers, introns and terminators.

Typically two cell populations are provided and preferably both cell populations are cells which harbour a polynucleotide construct of the invention. One cell population will not have been exposed to NO and the other cell population will have been exposed to NO. The method could be carried out on the same cells ie. cells are cultured in the absence of ecdysone or tetracycline, an aliquot withdrawn and ecdysone or tetracycline added to the remaining cells. Alternatively, the method could be carried out on parallel populations of cells, cultured either in the absence or presence of ecdysone or tetracycline. Both arrangements may be carried out simultaneously.

RNA may then be isolated from the cells using any method known to those skilled in the art. Populations of mRNAs may be separated from total cellular RNA, the bulk of which may typically be rRNA and tRNA, using for example, an oligo(dT)-cellulose column. When a preparation of total cellular RNA is passed through such a column, mRNA molecules bind to the oligo(dT) by their poly(A) tails while the rest of the RNA flows on through the column. The bound mRNAs can then be eluted from the column using for example 10mM Tris and 1mM EDTA.

Optionally the mRNA may be converted into cDNA. Again methods for reverse transcription are well known to those in the art. Oligonucleotides, comprising stretches of, for example, 8 to 10 deoxythymidines may be used as

primers for reverse transcriptase. Alternatively, random primed cDNA synthesis may be carried out. In that technique short oligonucleotide fragment of, for example, 6 to 10 nucleotides in length made up of many possible sequences are used as primers for the cDNA synthesis reaction. This technique may be suitable for isolating the 5' end of long messages. The product of both dT primed and random primed cDNA synthesis is an RNA-DNA hybrid. From that point several procedures may be used to convert the RNA-DNA hybrid to double stranded cDNA molecules suitable for cloning into appropriate vectors. For example, RNaseH nicking of the RNA strand followed by second strand cDNA synthesis.

mRNA or cDNA populations may be compared according to any method known to those skilled in the art. Generally, the comparison will be between two cDNA populations. For example, hybridisation-based, PCR-based or sequence-based techniques may be used. Hybridisation-based techniques that may be used include differential plaque-filter hybridization, subtraction cloning, cDNA array analysis and DNA microarray analysis. Suitable PCR-based techniques include differential display and representational difference analysis (RDA). Sequence-based techniques that may be used include serial analysis of gene expression (SAGE), expressed sequence tag (EST) analysis, massively parallel signature sequencing (MPSS), DNA sequencing chip analysis and mass spectrometry. See for example Kozian and Kirschbaum (1999) TIBTECH 17, 73-78.

*(i) Hybridisation-based techniques*

Differential plaque-filter hybridization allows the identification of specific differences in cloned cDNAs. The technique looks for differences in hybridisation when different cDNA populations are hybridised to replicates of a cDNA library. The technique has the limitation to the study of expression patterns of known genes.

Subtractive cDNA libraries may be generated by hybridizing an mRNA population of one origin to an mRNA of a different origin. Transcripts that do not find a complementary strand in the hybridisation step are then used for the construction of a cDNA library. That cDNA library allows the genes that are differentially expressed between the two populations of mRNA to be ascertained. A



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number of refinements to this technique are possible, for example, the selective amplification of differentially expressed mRNAs via biotin- and restriction-mediated enrichment (SABRE). cDNAs derived from a tester population are hybridized against cDNAs from a driver (control) population. After a purification step specific for tester-cDNA-containing hybrids, tester-tester homohybrids are specifically amplified using an added linker, thus allowing the isolation of differentially expressed genes.

The above described hybridisation-based techniques all have the limitation that the results are unidirectional. That is, the result of such as experiment is the isolation of a population of differentially expressed genes. However, it is not possible to determine which population shows upregulation or downregulation of a particular differentially expressed gene. Further experimentation is required to determine the origin of the differential expression.

Labelled cDNAs, for example radioactively labelled or a non-radioactively labelled, for example with an antibody label or an enzyme label, may be hybridised to arrays of cDNAs. Such arrays comprise cDNAs spotted onto a solid matrix, for example modified cellulose or nylon so that each point of the array represents a known cDNA sequence. Comparison of identical arrays hybridized with two different populations of cDNAs therefore reveals genes which differentially expressed between the two cDNA populations. Typical cDNA arrays are available commercially, for example Clontech produce an array which has an expression profile of 588 known genes and GenomeSystems produce an array of 18,942 unrelated cDNA species covering about 20% of the expressed genes in the human genome. The latter array contains cDNA which correspond to genes with both known and unknown functions.

The cDNA array technique has been further developed with the introduction of DNA microarrays. Current DNA microarrays are systematically gridded at high density. Such arrays may be generated by using cDNAs (eg ESTs), PCR products or cloned DNA, which are linked to the surface of, for example, nylon filters, glass slides or silicon chips. DNA arrays may also be assembled from synthetic oligonucleotides, either by directly applying the synthesized oligonucleotides to the matrix or by photolithography. To determine differentially expressed genes, labelled

cDNAs are hybridized to the DNA- or oligomer- carrying arrays. Indeed if two different fluorophores are used for labelling two different populations of cDNA to be tested the two populations can be hybridized simultaneously to the same array and compared at different wavelengths. The expression of 10, 000 genes or more can be analyzed on a single chip.

*(ii) PCR-based techniques*

PCR-based techniques have the advantage that differential gene expression may be analysed in a bidirectional manner and many cell populations can be analysed in parallel.

Differential display involves the amplification of cDNAs using a panel of random oligonucleotides. A typical protocol is as follows. DNase-treated total RNA of high purity is reverse transcribed using a  $T_{11}XY$  primer ( $X=A, C$  or  $G$ ;  $Y=A, C, G$  or  $T$ ) which serves as the template for subsequent PCR. The PCR is performed using a radiolabelled nucleotide, the same  $T_{11}XY$  primer used for the reverse transcription and a set of random decamer primers. Each of these primer sets will amplify a subset of all cDNAs, resulting in the generation of up to a hundred cDNA fragments in one reaction tube. A portion of the PCR sample is then size fractionated by denaturing gel electrophoresis and the pattern of the amplified cDNAs is visualised autoradiographically. Comparisons of the cDNA band pattern lead to the identification of differentially amplified cDNAs, which can then be eluted from the gel, reamplified, cloned and sequenced.

Representational difference analysis takes advantage of both subtractive hybridization and PCR to analyze differential gene expression. In the first step, mRNA derived from two different populations, the tester and the driver (control) is reverse transcribed; the tester population is that in which the differential expression is expected to occur. Following digestion with a frequent-cutting restriction endonuclease, linkers are ligated to both ends of the cDNA. A PCR step then generates the initial representation of the different gene pools. The linkers of the tester and driver cDNA are digested and a new linker is ligated to the ends of the tester cDNA. The tester and driver cDNAs are then mixed in a 1:100 ratio with an

excess of driver cDNA in order to promote hybridization between single-stranded cDNAs common in both tester and driver cDNA pools. Following hybridization of the cDNAs, PCR exponentially amplifies only those homoduplexes generated by the tester cDNA, via the priming sites on both ends of the double-stranded cDNA.

5

*(iii) Sequence-based techniques*

A number of sequence based techniques may be used to identify differentially expressed genes. However, in general these techniques rely on the use of databases of known gene sequences.

10

In theory the use expressed sequence tags (ESTs) could be used to identify differentially expressed genes. That is all the mRNA encoding sequences can be identified in a particular tissue or cell type by sequencing all cDNA fragments isolated from that tissue or cell type at random. Comparison of the two tissue or cell types should reveal differentially expressed coding sequences. However, in practice this method is likely to be of limited use as a high through-put system. Sequencing all the ESTs from a particular tissue or cell type is likely to be a massive undertaking in even the simplest organisms.

15

20

Serial analysis of gene expression (SAGE) is a sequence-based approach to the identification of differentially expressed genes through comparative analyses. It allows the simultaneous analysis of sequences that derive from different cell populations or tissues. Three steps form the molecular basis for SAGE: (1) generation of a sequence tag (from 10 to 14 bp) to identify expressed transcripts; (2) ligation of sequence tags to obtain concatemers that can be cloned and sequenced; and (3) comparison of the sequence data to determine differences in expression of genes that have been identified by the tags.

25

Methods for isolating stimulators or inhibitors of differentially expressed polynucleotides or the polypeptides which they encode

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The invention provides methods for isolating stimulators or inhibitors of the differentially expressed polynucleotides identified by the methods described above or the polypeptides which the identified polynucleotides encode. Inhibitors or

stimulators of differentially expressed polynucleotides are substances that inhibit or stimulate the expression the transcription of the polynucleotide into an mRNA or inhibit the translation of an mRNA into a protein. Inhibitors or stimulators of the polypeptide which differentially expressed polynucleotides encode are substances  
5 that can inhibit or simulate the activity of such a polypeptide.

Clearly a particular substance may stimulate and/or inhibit transcription and/or translation and/or activity. Ultimately, however, the cumulative overall effect will be important, as in the majority of cases a polypeptide will be the active species. Generally if a substance inhibits transcription or translation the effective "activity" of  
10 the corresponding gene will be inhibited. Although the activity per mole of polypeptide will be unaltered; the amount of polypeptide will be simply diminished.

Stimulators and inhibitors may be isolated using any suitable method. Typically, however, it will be convenient to use cells of the invention. Cells may be contacted with a test substance and ecdysone or an analog thereof or tetracycline or  
15 an analog thereof under conditions in which in the absence of the test substance the expression of the polynucleotide or activity of the polypeptide is inhibited or stimulated in the presence of NO. The cells may then be assayed for the effect that the test substance has on expression of the differentially expressed polynucleotide or activity of the polypeptide. That is, the effect of the test substance on transcription,  
20 translation and polypeptide activity may be assayed.

Suitable control experiments may also be carried out. For example, other genes may be assayed for in order to determine whether the test substance is a specific or general inhibitor or stimulator of transcription and/or translation and/or polypeptide activity.  
25

#### Test substances

Suitable candidate substances for stimulators or inhibitors of differentially expressed polynucleotides or polypeptides include combinatorial libraries, defined chemical identities, peptide and peptide mimetics, oligonucleotides and natural  
30 product libraries. The candidate substances may be used in an initial screen of, for example, ten substances per reaction, and the substance of these batches which show

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inhibition tested individually. Furthermore, antibody products (for example, monoclonal and polyclonal antibodies, single chain antibodies, chimaeric antibodies and CDR-grafted antibodies) which are specific for differentially expressed polypeptides may be used.

5           A stimulator or inhibitor of a differentially expressed polynucleotide or polypeptide is one which produces a measurable increase or reduction respectively in transcription and/or translation of the differentially expressed polynucleotide or activity of the polypeptide encoded by the polynucleotide in the assays described above.

10           Preferred inhibitors are those which inhibit expression of a polynucleotide and/or activity of a polypeptide by at least 10%, at least 20%, at least 30%, at least 40% at least 50%, at least 60%, at least 70%, at least 80%, at least 90%, at least 95% or at least 99% at a concentration of the inhibitor of  $1\mu\text{g ml}^{-1}$ ,  $10\mu\text{g ml}^{-1}$ ,  $100\mu\text{g ml}^{-1}$ ,  $500\mu\text{g ml}^{-1}$ ,  $1\text{mg ml}^{-1}$ ,  $10\text{mg ml}^{-1}$ ,  $100\text{mg ml}^{-1}$ .

15           Preferred stimulators are those which stimulate expression of a polynucleotide and/or activity of a polypeptide by at least 10%, at least 25%, at least 50%, at least 100%, at least, 200%, at least 500% or at least 1000% at a concentration of the activator  $1\mu\text{g ml}^{-1}$ ,  $10\mu\text{g ml}^{-1}$ ,  $100\mu\text{g ml}^{-1}$ ,  $500\mu\text{g ml}^{-1}$ ,  $1\text{mg ml}^{-1}$ ,  $10\text{mg ml}^{-1}$ ,  $100\text{mg ml}^{-1}$ .

20           The percentage inhibition or stimulation represents the percentage increase or decrease in expression/activity in a comparison of assays in the presence and absence of the test substance. Any combination of the above mentioned degrees of percentage inhibition and concentration of stimulator or inhibitor may be used to define a stimulator or inhibitor of the invention, with greater stimulation or inhibition  
25           at lower concentrations being preferred.

### Therapeutic Use

Inhibitors or stimulators of transcription and/or translation of a polynucleotide identified by a method of the invention and/or of activity of a  
30           polypeptide encoded by that polynucleotide may be useful in prophylaxis or therapy.

One of the genes isolated in screens carried out to identify polynucleotides

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which are differentially expressed in response to NO is the gene encoding DNA-PKcs. DNA-PK plays an important role in DNA repair and/or DNA damage signalling and DNA-PKcs is a member of the PI 3-kinase family, ie. it is a PI 3-kinase like kinase. Other polypeptides containing a PI 3-kinase-like domain are also implicated in DNA repair.

To test the biological significance of the increase in DNA-PKcs, we exposed cells to high doses of DNA damaging agents, such as NO donors, bleomycin, adriamycin, cisplatin, UV-C irradiation and X-ray irradiation.

NO generating cells, with increased levels of DNA-PK are fully protected against exposure to UV-C, bleomycin, adriamycin, cisplatin, X-ray irradiation and also to high concentrations of NO donors. A NOS inhibitor and a DNA-PK inhibitor can abolish this protection.

Therefore the invention also provides products containing an NOS inhibitor and a DNA damaging agent as a combined preparation for simultaneous, separate or sequential use in the treatment of cancer. The invention also provides products containing an inhibitor of a DNA repair enzyme and a DNA damaging agent as a combined preparation for simultaneous, separate or sequential use in the treatment of cancer.

Generally, preferred DNA repair enzymes are those which are PI 3-kinase like kinases. Also preferred are DNA repair enzymes that are upregulated in the presence of NO. DNA-PK is particularly preferred.

The condition of a patient suffering from a cancer can be improved by administration of products of the invention. A therapeutically effective amount of products of the invention may be given to a patient in need thereof.

The invention also provides use of an NOS inhibitor in the manufacture of a medicament for use with a DNA damaging agent in the treatment of cancer. The invention additionally provides use of a DNA repair enzyme inhibitor, for example a DNA-PK inhibitor, in the manufacture of a medicament for use with a DNA damaging agent in the treatment of cancer.

DNA damaging agents suitable for use in the invention include substances which are DNA alkylating and/or cross-linking agents, substances which are DNA

binding/cleaving agents and radiotherapeutic agents, for example X-ray irradiation.

Substances which are DNA alkylating and/or cross-linking agents include nitrosoureas, nitrogen mustards, mitomycins and platinum coordination compounds. Such substances typically have the ability to react covalently with DNA bases and to  
5 form inter- and intrastrand DNA cross-links. These compounds may also be responsible for the alkylation of proteins and protein-DNA linkages. The resulting lesions produced in the DNA result in the disruption of cell growth and function, ultimately leading to cell death.

Suitable nitrosoureas include carmustine USP (BiCNU), lomustine USP  
10 (CeeNU), tauromustine and streptozocin USP (Zanosar).

Suitable nitrogen mustards include cyclophosphamide USP (Cytosan), ifosfamide (Ifex), mesna USP (Mesnex), mechlorethamine hydrochloride USP (Mustargen), chlorambucil USP (Leukeran), melphalan USP (Alkeran) and thiotepa  
15 USP (Thiotepa).

Suitable mitomycins include mitomycin C USP (Mutamycin), BMY-25067  
and KW2149.

Suitable platinum coordination compounds include cisplatin USP (Platinol) and carboplatin USP (Paraplatin).

Substances which are DNA binding/cleaving agents (DNA interactive agents)  
20 may bind to DNA either as intercalators or as minor groove binders, hence inhibiting DNA dependent RNA synthesis. Such substances may also cleave DNA by forming free radicals in the immediate vicinity of the sugar-phosphate backbone. Activity as antitumor agents is typically related to the ability to induce irreparable lesions in DNA. For example, one suitable substance bleomycin generates oxygen free-radical  
25 species, whereas another suitable substance, esperamicin A<sub>1</sub>, generates aryl diradical species, which abstract hydrogen atoms directly from the deoxyribose backbone.

Suitable DNA interactive substances include danorubicin hydrochloride USP (Cerubidine), doxorubicin USP (Adriamycin), idarubicin hydrochloride (Idamycin), mitoxanthrone hydrochloride USP (Novantrone), bleomycin sulfate USP  
30 (Blenoxane), epseramicin A<sub>1</sub>, Adozelesin (U73, 975), dactinomycin USP (Cosmegen), plicamycin USP (Mithracin), and procarbazine hydrochloride USP

(Matulane).

It will be apparent to those skilled in the art that analogs of the above mentioned DNA damaging agents may also be suitable for use in the invention.

5 An NOS inhibitor is a substance which inhibits transcription and/or translation of an NOS gene and/or inhibits activity of a NOS enzyme. Any pharmaceutically acceptable inhibitor of NOS can be used in the present invention.

Typically, an inhibitor of a NOS enzyme is used. Competitive, non-competitive, reversible and irreversible inhibitors are suitable. The inhibitor may inhibit iNOS, eNOS and/or nNOS. Preferably, the inhibitor will selectively inhibit  
10 the NOS isoform expressed in the tumor to be treated.

Suitable inhibitors include L-arginine analogues, thiocitrullines, indazole derivatives, imidazole derivatives, hydrazine derivatives, thioureas, thiazoles, biotin derivatives and phenyl-substituted thiopene amidines.

Examples of suitable L-arginine analogues include methyl-L-arginine, N<sup>G</sup>-nitro-L-arginine methyl ester (L-NAME), N<sup>G</sup>-monomethyl-L-arginine (L-NMMA), N<sup>G</sup>-amino-L-arginine (L-NAA), N<sup>w</sup>,N<sup>w</sup>-dimethyl-L-arginine (ADMA), N<sup>w</sup>,N<sup>w2</sup>-dimethyl-L-arginine (SDMA), N<sup>w</sup>-ethyl-L-arginine (L-NEA), N<sup>w</sup>-methyl-L-homoarginine (L-NMHA), N<sup>w</sup>-nitro-L-arginine (L-NOARG), N<sup>δ</sup>-iminoethyl-L-ornithine (L-NIO), N<sup>δ</sup>-iminoethyl-L-lysine (L-homo-NIO) and L-canavanine (L-  
20 CAN).

Examples of suitable thiocitrullines include S-methyl-L-thiocitrulline (SMTc), L-thiocitrulline (L-TC) and L-S-ethyl-thiocitrulline (Et-TC).

Examples of suitable indazole derivatives include indazole and 7-substituted indazoles such as 7-nitroindazole and 3-bromo-7-nitroindazole.

25 Examples of suitable hydrazine derivatives include aminoguanidine.

Examples of suitable imidazole derivatives include phenyl substituted imidazoles such as 1-phenyl-imidazole.

Examples of suitable thioureas include S-methylisothiurea sulphate, δ-(S-methylisothioureido)-L-norvaline (L-MIN), S-ethylisothiurea (SETU) and S-isopropylisothiurea (SIPT).  
30

Examples of suitable thiazoles include 2-amino-thiazole and 2-amino-4,5-



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dimethyl thiazole.

Examples of suitable biotin derivatives include 2-iminobiotin.

The above NOS inhibitors are commercially available, or may be made by analogy with known methods.

5       The inhibitor may be a pharmaceutically acceptable salt of one the above compounds. Suitable salts include salts with pharmaceutically acceptable acids, both inorganic acids such as hydrochloric, sulphuric, phosphoric, diphosphoric, hydrobromic or nitric acid and organic acids such as citric, fumaric, maleic, malic, ascorbic, succinic, tartaric, benzoic, acetic, methanesulphonic, ethanesulphonic, 10       benzenesulphonic or p-toluenesulphonic acid. Salts may also be formed with pharmaceutically acceptable bases such as alkali metal (eg sodium or potassium) and alkali earth metal (eg calcium or magnesium) hydroxides and organic bases such as alkyl amines, aralkyl amines or heterocyclic amines.

Inhibitors of NOS can be identified by:

15       (a)     contacting a candidate compound with NOS and a substrate and co-factor therefor, under conditions under which NOS activity, in the absence of an inhibitor, would be expected to occur; and

(b)     determining whether, or to what extent, NOS activity takes place.

A suitable such assay for identifying inhibitors of NOS is a microtiter plate 20       assay in which NOS activity is measured by determining the change in absorbance as NADPH is converted to NADP<sup>+</sup>. This assay comprises:

(a)     adding a candidate compound, a known NOS inhibitor (for example L-NMMA) and a buffer solution to separate microtiter wells;

(b)     adding to each well NOS enzyme, cofactors therefor, L-arginine and 25       buffer; and

(c)     determining the change in absorbance in each well.

Typically, the buffer is a HEPES buffer capable of maintaining a pH of about 7, preferably about 7.4. The cofactors comprise oxyhemoglobin, NADPH and BH<sub>4</sub>. They may also comprise CaCl<sub>2</sub>, MgCl<sub>2</sub>, FMN, FAD and/or CaM.

30       The NOS may be a naturally occurring form of eNOS, iNOS, or nNOS or may be a variant which retains NOS activity, for example variants produced by

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mutagenesis techniques. NOS used in the assay is preferably of mammalian origin, for example rodent (including rat and mouse) or primate (such as human). Preferably, the NOS is of human origin.

The NOS may be obtained from mammal cellular extracts or produced recombinantly from, for example, bacteria, yeast or higher eukaryotic cells including mammalian cell lines and insect cell lines. Preferably, NOS used in the assay is recombinant. More preferably, it is obtained by expression in Sf21 cells according to the methodology in Charles *et al.*, *Methods in Molecular Biology* (edited by M.A. Titheradge, Humana Press, Totowa), vol 100, pgs 51-60.

Step (c) of the assay may be carried out by reading the difference in absorbance between 420 and 405 nm. Typically, this is done by a spectrophotometer. Comparison of the well containing the candidate compound with the control wells containing a known NOS inhibitor (100% inhibition) and no inhibitor (0% inhibition) allows % inhibition achieved by the candidate compound to be calculated.

A microtiter assay as set out above is described in detail in Dawson & Knowles, *Methods in Molecular Biology* (edited by M.A. Titheradge, Humana Press, Totowa), vol 100, Chapt. 22, pgs 237-242.

Any compound which is identified as an NOS inhibitor using an assay as described above can be used in the present invention. The NOS inhibitors used in the present invention typically achieve at least 50% NOS inhibition, more preferably at least 80% NOS inhibition. Ideally, they achieve substantially complete NOS inhibition.

A DNA repair enzyme inhibitor is a substance which inhibits transcription and/or translation of a gene encoding a DNA repair enzyme and/or inhibits activity of a DNA repair enzyme itself. Any pharmaceutically acceptable inhibitor of DNA repair enzyme can be used in the present invention.

Typically, an inhibitor of a DNA repair enzyme itself is used. Competitive, non-competitive, reversible and irreversible inhibitors are suitable. The inhibitor may inhibit one subunit of a DNA repair enzyme, for example the catalytic subunit of DNA-PK. Suitable inhibitors include wortmannin, OK-1035, LY294002, quercetin,

quercitrin and rutin and analogs and derivatives thereof. These inhibitors are all inhibitors of PI 3-kinase like kinases and are commercially available, or may be made by analogy with known methods.

The inhibitor may be a pharmaceutically acceptable salt of one the above  
5 compounds. Suitable salts include salts with pharmaceutically acceptable acids, both inorganic acids such as hydrochloric, sulphuric, phosphoric, diphosphoric, hydrobromic or nitric acid and organic acids such as citric, fumaric, maleic, malic, ascorbic, succinic, tartaric, benzoic, acetic, methanesulphonic, ethanesulphonic, benzenesulphonic or p-toluenesulphonic acid. Salts may also be formed with  
10 pharmaceutically acceptable bases such as alkali metal (eg sodium or potassium) and alkali earth metal (eg calcium or magnesium) hydroxides and organic bases such as alkyl amines, aralkyl amines or heterocyclic amines.

Inhibitors of the DNA repair enzyme DNA-PK are particularly suitable for use in the present invention and can be identified by, for example:

15 (a) Growing EcR293 clone 11 cells for 24h in the presence of muristerone A. An equal number of untreated cells are also prepared. Cells treated with muristerone A are checked for NO generation by use of the Griess reaction;

(b) Cells are trypsinised and seeded into 96 well plates. Three sets of plates are produced for each experiment: 2 sets of muristerone A treated cells; and  
20 one plate of untreated cells;

(c) The two sets of cells that have been given muristerone A are treated with UV-C as described in the Example below and the control cells are left untreated;

(d) A library of test compounds is added to one set of 96-well plates that have been treated with muristerone A and exposed to UV-C. The same test  
25 compounds are added to the untreated control cells. The other 96-well plate that has been treated with muristerone A and exposed to UV-C receives no test compounds and is used as a control;

(e) Cells exposed to UV-C that have not received muristerone A will undergo 95% cell killing. Those cells receiving muristerone A treatment will  
30 generate NO, up-regulate DNA-PK, and be protected against the damaging effects of UV-C (95% protected). Test compounds that inhibit DNA-PK will result in

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increased cell death;

(f) A simple colourimetric assay for LDH (lactate dehydrogenase) can be carried out as described in the Example below to determine cell viability. Any test compound that inhibits DNA-PK will result in a reduction of cell survival (ie. an increase in the LDH assay); and

(g) Candidate compounds isolated in step (f) can be assayed against the DNA-PK enzyme to determine whether they are DNA-PK inhibitors or PI 3-kinase like kinase inhibitors.

10 The above assay may alternatively be carried out using a cell line which expresses NOS under the control of an tetracycline-responsive promoter.

Any compound which is identified as a DNA-PK inhibitor using an assay as described above can be used in the present invention.

15 The DNA repair enzyme inhibitors used in the present invention typically achieve at least 50% inhibition of a DNA repair enzyme, more preferably at least 80% inhibition of a DNA repair enzyme. Ideally, they achieve substantially complete inhibition of a DNA repair enzyme.

20 Products of the invention may be used in the treatment of any cancer. The particular cancer to be treated will typically depend on the particular DNA damaging agent to be used. For example, typically, cisplatin may be used to treat metastatic testicular tumours, metastatic ovarian tumours and advanced bladder cancer. The products of the invention may also be used in the treatment of breast cancer, ovarian cancer, hepatoma or melanoma.

25 Use of radiotherapy, for example X-ray irradiation, with a NOS inhibitor or a DNA repair enzyme inhibitor according to the invention, may be used in the treatment of any suitable cancer, including breast, lung, cervical, colorectal, head and neck cancers.

30 The invention also provides a method of treatment of a host suffering from retrovirus infection, for example HIV infection, comprising administration of a therapeutically effective amount of an NOS inhibitor. Optionally, an effective amount of a PI-3 kinase like kinase, for example DNA-PKcs, may be co-administered.

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Therefore, the invention also provides products containing an NOS inhibitor and a PI-3 kinase like kinase as a combined preparation for simultaneous, separate or sequential use in the treatment of retroviral infection. The condition of a patient suffering from retroviral infection can be improved by administration of products of the invention. A therapeutically effective amount of products of the invention may be given to a patient in need thereof.

The invention also provides use of an NOS inhibitor in the manufacture of a medicament for use in the treatment of retroviral infection. The invention additionally provides use of an NOS inhibitor in the manufacture of a medicament for use with a PI-3 kinase like kinase in the treatment of retroviral infection.

A host suffering from retroviral infection may also be treated, for example separately, sequentially or simultaneously, with any other anti-retrovirus agent when carrying out the above method or use or when using the above products.

DNA damaging agents and NOS or DNA repair enzyme inhibitors may be administered in a variety of dosage forms. Thus, they can be administered orally, for example as tablets, troches, lozenges, aqueous or oily suspensions, dispersible powders or granules. The DNA alkylating and/or cross-linking agents and NOS or DNA repair enzyme inhibitors may also be administered parenterally, either subcutaneously, intravenously, intramuscularly, intrasternally, transdermally or by infusion techniques. The DNA alkylating and/or cross-linking agents and NOS or DNA repair enzyme inhibitors may also be administered as suppositories. A physician will be able to determine the required route of administration for each particular patient.

The formulation of a DNA alkylating and/or cross-linking agent and an NOS or a DNA repair enzyme inhibitor for use in preventing or treating cancer will depend upon factors such as the nature of the exact DNA alkylating and/or cross-linking agent and NOS or DNA repair enzyme inhibitor, whether a pharmaceutical or veterinary use is intended, etc. An NOS or a DNA repair enzyme inhibitor and a platinum coordination compound may be formulated for simultaneous, separate or sequential use.

A DNA alkylating and/or cross-linking agent and an NOS or a DNA repair

enzyme inhibitor is typically formulated for administration in the present invention with a pharmaceutically acceptable carrier or diluent. The pharmaceutical carrier or diluent may be, for example, an isotonic solution. For example, solid oral forms may contain, together with the active compound, diluents, e.g. lactose, dextrose, saccharose, cellulose, corn starch or potato starch; lubricants, e.g. silica, talc, stearic acid, magnesium or calcium stearate, and/or polyethylene glycols; binding agents; e.g. starches, arabic gums, gelatin, methylcellulose, carboxymethylcellulose or polyvinyl pyrrolidone; disaggregating agents, e.g. starch, alginic acid, alginates or sodium starch glycolate; effervescing mixtures; dyestuffs; sweeteners; wetting agents, such as lecithin, polysorbates, laurylsulphates; and, in general, non-toxic and pharmacologically inactive substances used in pharmaceutical formulations. Such pharmaceutical preparations may be manufactured in known manner, for example, by means of mixing, granulating, tableting, sugar-coating, or film coating processes.

Liquid dispersions for oral administration may be syrups, emulsions and suspensions. The syrups may contain as carriers, for example, saccharose or saccharose with glycerine and/or mannitol and/or sorbitol.

Suspensions and emulsions may contain as carrier, for example a natural gum, agar, sodium alginate, pectin, methylcellulose, carboxymethylcellulose, or polyvinyl alcohol. The suspensions or solutions for intramuscular injections may contain, together with the active compound, a pharmaceutically acceptable carrier, e.g. sterile water, olive oil, ethyl oleate, glycols, e.g. propylene glycol, and if desired, a suitable amount of lidocaine hydrochloride.

Solutions for intravenous or infusions may contain as carrier, for example, sterile water or preferably they may be in the form of sterile, aqueous, isotonic saline solutions.

A therapeutically effective amount of a DNA alkylating and/or cross-linking agent and of an NOS or DNA repair enzyme inhibitor is administered to a patient. The dose of a DNA alkylating and/or cross-linking agent and of an NOS or a DNA repair enzyme inhibitor may be determined according to various parameters, especially according to the substance used; the age, weight and condition of the patient to be treated; the route of administration; and the required regimen. Again, a

physician will be able to determine the required route of administration and dosage for any particular patient. A typical daily dose is from about 0.1 to 50 mg per kg of body weight, according to the activity of the specific inhibitor, the age, weight and conditions of the subject to be treated, the type and severity of the degeneration and the frequency and route of administration. Preferably, daily dosage levels are from 5 mg to 2 g.

Use of radiotherapy according to the invention may be carried out according to techniques well known in the art. A physician will be able to determine suitable administration and dosage regimes for each particular patient

10

The following Example illustrates the invention:

## EXAMPLE

### Materials and methods

Unless indicated otherwise, the methods used are standard biochemical techniques. Examples of suitable general methodology textbooks include Sambrook *et al.*, Molecular Cloning, a Laboratory Manual (1989) and Ausubel *et al.*, Current Protocols in Molecular Biology (1995), John Wiley & Sons, Inc.

### *Subculture Procedure*

All of the operations were carried out under strict aseptic conditions in a laminar flow hood. The medium was removed from near-confluent flasks and gently washed twice with serum-free DMEM (Dulbecco's Modified Eagle's Medium). A trypsin/versene mix was added to the cells and they were incubated for 5-10 min. until the cells had detached. Once the cells had detached they were resuspended in pre-warmed (37°C) serum-free DMEM and then pelleted by centrifugation at 1000rpm for 5min. It was essential to wash the cells to remove the residue of the trypsin/versene (serum-free DMEM was used for washing). The supernatant was removed and the cells gently resuspended in 15ml of 90% DMEM with glutamine, 10% fetal bovine serum (FBS, cell culture grade) that had been pre-warmed to 37°C. The cells were then transferred to three or four T-25 flasks (or equivalent) and placed

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in a humidified, 37°C, 5% CO<sub>2</sub> incubator. It typically took 3-4 days for cell cultures to reach 80-90% confluency. Media were changed 2 times a week and cells subcultured at a ratio of 1:3 to 1:4 when they reached 80-90% confluency. Cells were subcultured when approaching confluency to avoid the accumulation of floating and dead cells. Cells were frozen for storage in 95% FBS and 5% DMSO.

#### *Induction using Muristerone A*

Cells could be maintained in non selection medium for 2-3 weeks without losing inducibility following muristerone A treatment. Non-selection medium was used by choice, although selection medium worked equally well. Cells were seeded at 1-2 x 10<sup>5</sup>/ml concentration for 12 well or 96 well plates. Semi-confluent or confluent plates or flasks were used for induction. Figure 1(a) shows overnight induction in 12 well plates (3-30 hours) following the addition of different doses of muristerone A. Nitrate concentrations are measured using the Griess Reaction (see below).

#### *Western blot analysis*

Cells were pelleted at 200g, followed by two washes in ice-cold PBS, pH 7.2, then resuspended in the extraction buffer (50mM NaF, 20mM Hepes (pH 7.8), 450mM NaCl, 25% (vol/vol) glycerol, 0.2mM EDTA, 0.5mM dithiothreitol, 0.5mM phenylmethylsulfonyl fluoride, leupeptin (0.5µg/ml), protease inhibitor (0.5µg/ml), trypsin inhibitor (1.0µg/ml), aprotinin (0.5µg/ml), bestatin (40µg/ml)) and left on ice for 10 min. Following centrifugation at 10,000g for 10 min at 4°C, the supernatant was collected and the cell extract assayed for protein using the BCA kit (Pierce). One fifth of a volume of five times sample buffer (0.25M Tris-HCL (pH6.8), 0.4M DTT, 5% SDS, 0.5% bromophenol blue, 50% glycerol) was added to each sample and boiled for 5 min prior to storage at -70°C. Electrophoresis was carried out on 6% SDS polyacrylamide gels with 25µg samples. Proteins were transferred to polyvinylidene difluoride (PVDF) membranes (Amersham) and immunoblotting carried out with the appropriate antibody using ECL (Amersham). Where necessary, blots were stripped in 62.5mM Tris-HCL 100mM β-mercaptoethanol/ 2% SDS, (pH



6.7) and reprobed with different antibodies.

### *Northern Blotting*

5 Poly(A) + mRNA was isolated using a micro-fastrack mRNA purification kit (Invitrogen), separated by electrophoresis and transferred onto Hybond N membrane (Amersham). Phosphoimaging (BAS1000, Fujix) was used to quantify the signals using the MacBas image analysis software.

### *NOS activity assay*

10 Griess Reaction (Green *et al.*, 1982, Analysis of nitrate, nitrite and [<sup>15</sup>N] nitrate in biological fluids, *Anal. Chem.* 126, 131-138): NOS activity was determined for both intact cells and their lysates. For intact cells, 100µl of the culture medium was mixed with 100µl of Griess reagent (1:1 mixture of 1% sulfanilamide in 5% H<sub>3</sub>PO<sub>4</sub> and 0.1% naphthylethyethylenediamine dihydrochloride in water) for 10 min  
15 at room temperature and the absorbance at 543 nm was recorded. A serial dilution of sodium nitrite was used as a standard.

For enzyme assays on cell lysates, 100µg of lysate was mixed with 100µl reaction reagent from the NOS detect system (Stratagene NOS detect kit, Cat. No. 204500). The kit measures the conversion of [<sup>14</sup>C]arginine to [<sup>14</sup>C] citrulline, and is  
20 specific for the NOS pathway.

### *Whole Cell and nuclear DNA-PK assay*

DNA-PK 'pulldown' kinase assays were carried out (Finnie *et al.*, 1995, Proc. Natl. Acad. Sci. USA 93, 2442-2447) using pre-swollen double stranded DNA.  
25 (dsDNA) cellulose (Sigma) in a total volume of 50ml of 'Z' buffer (25mM Hepes/KOH, pH 7.9, 50mM KCL, 10mM MgC12, 20% glycerol, 0.1 Nonidet P40, 1mM dithiothreitol). The dsDNA cellulose was then washed twice with 1ml of 'Z' buffer and resuspended in 50ml of 'Z' buffer. Samples were divided into two or three aliquots. 0.5ml of γ<sup>32</sup> PATP (3000Ci/mmol) was added and kinase assays were  
30 carried out in the presence or absence of 4 nmol of peptide (0.2mM). Reactions were then stopped and analysis by spotting on to phosphocellulose paper, washing and

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counting as described. The sequences of the modified p53 N-terminal substrate (wt) and mutant p53 peptides are: EPPISQEQAFALLKK and EPPLSEQAFALLKK, respectively. All assays were performed multiple times with at least three different extract preparations. The reproducibility of the DNA-PK pulldown peptide assay is generally less than  $\pm 10\%$ . Nuclear extracts were prepared as above and DNA-PK activity analyses using the SignaTECT DNA Dependent Protein Kinase Assay System (Promega).

#### *Cell Culture and UV-C irradiation*

EcR293 clone 11 cells were cultured and treated with muristerone A as described above. Following treatment with muristerone A for 24h, cells were washed and exposed to UV-C (254nm) at a dose of 120mJ/cm<sup>2</sup> with a UV-Stratolinker 1800 (Stratagene). Fresh medium was added and the cells incubated for a further 24hr on 6-well flat-bottom microtiter plates.

#### *X-ray irradiation*

Exponentially growing untransfected cells and EcR293 clone 11 cells, either untreated or treated with 10 $\mu$ M muristerone A were X-ray irradiated using a Pantak X-ray machine at 320Kv and 10mA, at a dose rate of 6 Gy Min<sup>-1</sup>. Cells (5,000-15,000 per well) were plated in 6-well Falcon plates and incubated for 2-3 weeks. After staining with methylene blue, colonies of >50 cells were counted under magnification. The "surviving fraction" was determined by direct comparison of colony numbers from untreated wells with those from X-ray-irradiated wells.

#### *Cell Survival Assay*

Trypan blue (0.4%) solution (Gibco BRL) was used to stain dead cells in which loss of viability is recognised by membrane damage resulting in penetration of the dye. The viability is expressed as per cent viable cells in the population. The cytotoxicity detection kit (Boehringer) is based on the measurement of lactate dehydrogenase (LDH) activity released into the culture supernatants was measured with a 30-min couple enzymatic assay which results in the conversion of a

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tetrazolium salt into a red formazan product that is read at 490nm in an automatic plate reader (Emax. Molecular Device, Sunnyvale, CA). Measurement of cytotoxicity by ATP was carried out with ATPlite-M (Packard) reagents. Nuclear fragmentation assays were determined with TdT (terminal deoxynucleotidyl transferase) mediated dUTP-biotin nick end labelling (TUNEL) using the tumorTACS kit (R&D systems). For each sample, a minimum of 500 cells were counted. Labelled nuclei are expressed as a percentage of the total number.

### **Results**

#### **10      Generation of NOS transfected cell lines under the control of an ecdysone-responsive or a tetracycline-responsive promoter**

Three plasmids were generated, each of which expressed one of the human NOS isoforms under the control of an ecdysone-responsive promoter. An additional plasmid was constructed placing the iNOS cDNA under the control of a tetracycline-  
15      regulated promoter.

##### **(I)      pIND-hiNOS-f (Figure 1a)**

4164bp of the human iNOS cDNA (GenBank accession number: X73029, Coding sequence 226-3687) was cut from its original vector (Bluescript KS) using  
20      the restriction endonucleases, *KpnI* and *SpeI* and cloned into pIND (Invitrogen, San Diego, CA; Catalogue No: V705-20) which had been cut with *KpnI* and *XbaI*. The resulting plasmid was sequenced to confirm that the cDNA had inserted in the correct orientation.

##### **25      (II)      pIND-hnNOS-f (Figure 1b)**

5kb of the human nNOS cDNA (GenBank accession number: U17327, Coding sequence 686-4990) was cut from its original vector (Bluescript KS) using  
the restriction endonucleases, *XbaI* and *KpnI* and cloned into pIND (Invitrogen, San  
Diego, CA; Catalogue No: V705-20) which had been cut with *NheI* and *KpnI*. The  
30      resulting plasmid was sequenced to confirm that the cDNA had inserted in the correct orientation.

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## (III) pIND-heNOS-f (Figure 1c)

The wild type human eNOS (GenBank accession number: M95296, Coding sequence 21-3632) was cut from its original vector (Bluescript KS) using the restriction endonucleases, *HindIII* and *NotI* and cloned into pIND (Invitrogen, San Diego, CA; Catalogue No: V705-20) which had been cut with *HindIII* and *NotI*. The resulting plasmid was sequenced to confirm that the cDNA had inserted in the correct orientation.

## (IV) pTet-hiNOS-f (Figure 1d)

The human iNOS cDNA (GenBank accession number: X73029, Coding sequence 226-3687) was cut from its original vector (Bluescript KS) using the restriction endonucleases, *KpnI* and *SpeI* and cloned into pcDNA4/TO (Invitrogen, San Diego, CA) which had been cut with *KpnI* and *XbaI*. The resulting plasmid was sequenced to confirm that the cDNA had inserted in the correct orientation.

The pIND plasmid contains 5 modified EcREs called E/GREs which bind a modified functional ecdysone receptor. That modified functional ecdysone receptor can be expressed by another plasmid, pVgRXR (Invitrogen, San Diego, CA; Catalogue Number: V730-20). pVgRXR constitutively expresses a heterodimeric receptor comprising a modified ecdysone receptor (VgEcR) and RXR. Thus, a cell transformed with pVgRXR and one of the three plasmids described above (I, II or III) will express NOS in the presence of ecdysone or an analog thereof. In the presence of ecdysone the functional ecdysone receptor binds to the E/GREs and transcription of the NOS cDNA is initiated.

The plasmid pIND-hiNOS-f was used to transfect a human fetal kidney cell line, EcR293 (Invitrogen, San Diego, CA; Catalogue No: R650-07), which is stably transformed with pVgRXR. Transfections were carried out using Superfect reagent (Qiagen) and transfectants were isolated following double selection on G418 (400µg/ml) and zeocin (250µg/ml) for 14 days.

Thus, cells were isolated which constitutively expressed the subunits of a functional ecdysone receptor, RXR/VgEcR and the human iNOS cDNA under the

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control of an ecdysone-inducible promoter.

The T-Rex system (Invitrogen, San Diego, CA; Catalogue No: K1020-01) is a tetracycline-regulated mammalian expression system that uses regulatory elements from the *E. coli* Tn10-encoded tetracycline resistance operon. The pcDNA4/TO plasmid allows expression of a gene of interest under the control of the strong human cytomegalovirus immediate-early (CMV) promoter and two tetracycline operator 2 (TetO<sub>2</sub>) sites. The pcDNA6/TR plasmid expresses high levels of the *TetR* gene under the control of the human CMV promoter. Thus, a cell transformed with pcDNA6/TR and the plasmid described above (IV) will express NOS in the presence of tetracycline or an analog thereof. When present, tetracycline binds to tetR which undergoes a conformational change such that it dissociates from the TetO<sub>2</sub> sites. Expression of the iNOS gene is then induced, driven by the CMV promoter.

The plasmid pTet-hiNOS-f was used to transfect the cell line T-REx-293 ((Invitrogen, San Diego, CA; Catalogue No: R710-07). The T-REx-293 cell line is a human embryonic kidney 293 cell line which has been transfected with the pcDNA6/TR plasmid and thus generates high level expression of the tetracycline repressor protein (TetR). Transfections were carried out using conditions as described above for the muristerone A inducible constructs. Transfectants were isolated following selection on zeocin (200µg/ml) for the human iNOS cDNA expressing plasmid and blasticidin (5µg/ml) for the tetR expressing plasmid.

Thus, cells were isolated which constitutively expressed the tetracycline repressor protein (tetR) and the human iNOS cDNA in the presence of tetracycline.

## **Isolation and characterization of ecdysone-responsive and tetracycline-responsive human cell lines**

### *(A) Isolation of a panel of ecdysone-responsive human cell lines and determination of NOS activity*

A panel of 20 G418/zeocin-resistant clones were examined for their ability to generate NO. A total of 5 were identified that could be induced to produce NO at varying levels after treatment with 100µM muristerone A for 24 hours. NOS activity

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was determined in both intact cells and in cell lysates. For intact cells, the Griess reaction was used to determine the concentration of NO in 100 $\mu$ l of culture medium. For enzyme assays, 100 $\mu$ g of cell lysate was mixed with 100 $\mu$ l reaction reagent from NOS detect system (Stratagene NOS detect kit, Cat. No.204500). The kit measures the conversion of [ $^{14}$ C] arginine to [ $^{14}$ C] citrulline, and is specific for NOS. For a typical experiment, transfectants were plated out on 12 well Falcon tissue culture plates at a cell density of  $1 \times 10^5$ /ml and nitrite was measured by the Griess reaction. Muristerone A (Invitrogen) was added at a final concentration of 100 $\mu$ M to specific wells, and after induction for 24hr, 100 $\mu$ l of culture supernatant was used to measure nitrite concentration using the Griess reagent. The results are reported as the average of assays run on triplicate wells. Well-to-well variation was less than 10%.

*(B) Time and dose response of EcR293 clone 11 cells generating NO*

One of the transfectants, clone 11, was selected for further study. Cells were grown with varying concentrations of muristerone A, and at different time intervals, supernatants were taken and the Griess reaction was used to measure the nitrite concentration. The results are reported as the average of assays run in triplicate. Well-to-well variation was less than 10%. See Figure 2a.

(i) Muristerone A-dependent expression of the human iNOS gene. Northern blot analysis was carried out with 2 $\mu$ g of polyA<sup>+</sup> RNA isolated from cells which had been treated with muristerone A for 24 hr. A human iNOS cDNA probe was used to detect the presence of a 4kb band in mRNA extracted from cells treated with either 1 $\mu$ M or 10 $\mu$ M muristerone A. Human  $\beta$ -actin mRNA was used as a loading control. See Figure 2b.

(ii) Western blot of iNOS protein expression was carried out on untreated control cells or cells treated with 10 $\mu$ M muristerone A. The cells were harvested and 20 $\mu$ g of whole cell extracts loaded on to a 6% polyacrylamide gel. Following electrophoresis, the proteins were transferred to a filter and probed with a polyclonal antibody raised against the 7 C-terminal residues of human iNOS: Cyc-Arg-Nle-Orn-(Ser-Leu-Glu-Met-Ser-Ala-Leu). Filters were stripped and an antibody against human alpha-tubulin. (Insight Biotchnology) was used as a control. See Figure 2b.

*(C) Isolation of a panel of tetracycline-responsive human cell lines and determination of NOS activity*

A panel of 4 tetracycline-regulated iNOS expressing cell lines were isolated, designated clone 1, clone 2, clone 5 and clone 22.

In the absence of the inducer tetracycline the iNOS transfectants were unable to express mRNA as the two Tet operator sites (TetO<sub>2</sub>) are occupied by the repressor protein effectively blocking transcription. When tetracycline is added to the culture medium, it binds to the TetR protein and changes its conformation. The altered conformation of the repressor is unable to bind the operator sites, and consequently iNOS can be expressed. Nitrite concentrations were determined using the Griess reaction (see figure 3).

**NO-induced up-regulation of DNA-PKcs**

Previous work has demonstrated that treatment of cells with NO can lead to an increase in the accumulation of wild type p53 correlating with the transcriptional up-regulation of the p21<sup>WAF1</sup> cyclin-dependent kinase inhibitor. NO can also transcriptionally down-regulate expression of vascular endothelial growth factor (VEGF). Our experiments confirmed the previously reported findings that an increase in NO concentration results in a decrease in VEGF and an increase in both wild type p53 and p21<sup>WAF1</sup>.

In order to examine the role of NO on gene expression we used a differential display strategy involving differential hybridisation of mRNA derived probes to normalized cDNA arrays. Two different populations of mRNA were isolated, one from EcR293 clone 11 cells, and the other from the same cells expressing NO following treatment with 10µM muristerone A for 24 hr. cDNA probes prepared from the mRNA isolated from these cells were radioactively labelled, and used to hybridize to normalized human cDNA expression arrays (Atlas Human cDNA Expression Array I, Clontech), containing an expression profile of 588 genes.

Analysis of the resulting differential hybridization pattern reveals signals for a number of cDNA sequences with different intensities upon generation of NO. DNA-

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PKcs was found to be one of the candidate genes for which expression is higher in cells expressing NO.

To confirm that the changes in hybridization signal on the filter array corresponded to changes in mRNA abundance, Northern blotting experiments were carried out. Figure 4a shows a 13kb band is detected following hybridization with a probe for DNA-PKcs (Hartley *et al.*, 1985, Cell 82, 849-856). mRNA was extracted from untreated EcR293 clone 11 (track 1), and cells expressing NO following treatment with 1 $\mu$ M muristerone A (track 2,  $1.2 \pm 0.3$  fold increase) and 10 $\mu$ M muristerone A (track 3,  $2.4 \pm 0.5$  fold increase). The level of DNA-PKcs mRNA was increased significantly in cells expressing NO following treatment with muristerone A as compared with the untreated controls. This increase is reduced upon addition of the NOS inhibitor L-NIO (10 $\mu$ M muristerone A in the presence of 10 $\mu$ M L-NIO;  $1.2 \pm 0.1$  fold increase) (Figure 4b). To control for differences in RNA loading, the intensity of the DNA-PKcs signal is expressed as a percentage of the  $\beta$ -actin signal (Figures 4a and 4b, bottom panels). In control experiments, treatment of the parental cell line EcR293 (Invitrogen) with 10 $\mu$ M muristerone A is unable to produce any change in the level of DNA-PKcs mRNA. Experiments using a shorter induction time (four hours and 12 hours) also fail to produce any significant increase in the mRNA level for DNA-PKcs (data not shown).

To determine whether the increase in of DNA-PKcs mRNA levels correspond to an increase in protein, DNA-PKcs protein levels were examined using western blotting (Figure 5a). For whole-cell extracts, 20 $\mu$ g of protein from untreated cells (track 1), and cells generating NO following treatment with 1 $\mu$ M muristerone A (track 2), 5 $\mu$ M muristerone A (track 3), 10 $\mu$ M muristerone A (track 4) and 10 $\mu$ M muristerone A in the presence of 10 $\mu$ M L-NIO (track 5) were loaded in each well. Following gel electrophoresis and blotting, DNA-PKcs specific bands are detectable with a DNA-PKcs specific polyclonal antibody (Ab-FLA), raised against the whole protein (Song *et al.*, 1996, EMBO J. 15, 3238-3246) and chemiluminescence. The level of DNA-PKcs in cell lysates increases as the concentration of NO increases, correlating with the increase in the dose of muristerone A. The maximum increase in the level of DNA-PKcs is seen following treatment with 10 $\mu$ M muristerone A for 24



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hr. To ensure that the differences observed in the levels of DNA-PKcs are not artefacts of the whole cell preparation, nuclear extracts were also analysed, confirming our previous results. Nuclear DNA-PKcs levels are markedly increased in cells generating NO following treatment with muristerone A (Figure 5b, lanes 2 and 3) as compared with untreated cells (lane 1).

DNA-PK activity measurements were carried out using a DNA-PK 'pulldown' peptide assay as described above (Finnie *et al.*, 1995, Proc. Natl. Acad. Sci. USA 93, 2442-2447). There is an increase of up to 1.8 fold and 3.5 fold in DNA-PK activity (Figure 6a) in extracts from cells generating NO following treatment with 1 $\mu$ M and 10 $\mu$ M muristerone A respectively as compared with untreated control cells. The increase of DNA-PKcs activity can be reduced by addition of the NOS inhibitor L-NIO.

Nuclear protein DNA-PK assays were carried out using the SignaTECT DNA- Dependent Protein Kinase Assay System (Promega) and supported the findings from the whole-cell assays. DNA-PK activity is increased by up to 2.5 fold and 3.5 fold in cells generating NO following treatment with either 1 $\mu$ M or 10 $\mu$ M muristerone A as compared with untreated controls (Figure 6b). Furthermore, treatment of cells with the NOS inhibitor, L-NIO (10 $\mu$ M) results in a reduction in the NO-mediated increase in DNA-PK activity.

#### **NO-mediated increase in DNA-Pcs expression protects cells from X-ray irradiation, bleomycin, cisplatin, adriomycin and UV-C irradiation**

We have shown that the addition of muristerone A to EcR293 clone-11 cells dramatically increases the concentration of NO within the cells, accompanied by an increase in DNA-PK enzyme activity. To test the functional significance of the NO-mediated increase in DNA-PKcs levels, we subjected cells to high doses of DNA-damaging agents, such as X-ray irradiation, bleomycin, cisplatin, adriamycin, UV-irradiation and the NO donor S-nitroso-N-acetyl-D,L-penicillamine (SNAP).

Cells were X-ray irradiated using a Pantak X-ray machine (320 Kv, 10mA) at a dose rate of 6 Gy min<sup>-1</sup>. The fraction of cells that survived exposure to a range of X-ray doses from 3-9 Gy showed that EcR293 clone 11 cells, generating NO as a

result of muristerone A treatment were better protected from DNA damage than were untreated cells (see Figure 7). In control experiments, both parental (untransfected) EcR293 cells treated with muristerone A and EcR293 clone 11 cells in the absence of muristerone A showed similarly poor levels of protection.

5           In another series of experiments, following treatment of EcR293 clone-11 cells with 10 $\mu$ M muristerone A, cultures were exposed to various test solutions for 3 days: SNAP (500 $\mu$ g/ml), bleomycin (150 $\mu$ g/ml), adriamycin (50 $\mu$ g/ml) and cisplatin (12.5 $\mu$ g/ml). 72hr after treatment, adherent and non-adherent cells were pooled and viability assessed by trypan blue exclusion and lactate dehydrogenase determination (LDH) assay. Figure 8a shows that cells treated with 10 $\mu$ M muristerone A exhibit a  
10           significant resistance to all four DNA-damaging agents with a 4-fold increase in resistance to SNAP, a 3-fold increase in resistance to bleomycin, a 1.9-fold increase in resistance to adriamycin and a 4.6-fold increase in resistance to cisplatin as judged by the trypan blue exclusion assay. LDH determination assays gave similar results  
15           (data not shown).

EcR293 clone-11 cells were extremely sensitive to treatment with cisplatin. 12.5 $\mu$ g/ml causes massive apoptotic cell death within 24hr (see Figure 8b). The amount of cell death was dose dependent: 30 $\mu$ g/ml causes 90% cell death; and 150 $\mu$ g/ml kills over 95% of cells in 24hr.

20           Remarkably, the NO-induced cells have over 85% protection against such toxicity even at the highest concentration (150 $\mu$ g/ml) of cisplatin. To confirm the role of DNA-PK in this protection, 20 $\mu$ M wortmannin (a specific inhibitor of PI-3-type kinases) was added in the 10 $\mu$ M muristerone A induced culture medium. Wortmannin (WM) completely abolished the protective role of NO-generation  
25           against the damaging effect of cisplatin (see Figure 8b).

We have also tested the cells for UV-C sensitivity. The exposure of control EcR293 clone-11 cells to UV-C irradiation results in a dose-dependent increase in cell death. More than half the resting cells are dead at doses of 30mJ/cm<sup>2</sup> as judged by the trypan blue exclusion assay. Exposure of cells to UV-C irradiation  
30           (120mJ/cm<sup>2</sup>, for 24hr) results in 95% cell killing (see Figure 8c).

Remarkably, nearly 90% protection against UV-C induced cell death is

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observed following treatment with 10 $\mu$ M muristerone A (see Figure 8d) as judged by examination of the cells with TdT (terminal deoxynucleotidyl transferase) mediated dUTP-biotin nick end labeling (TUNEL) method. This dose of muristerone A results in a 3 to 5-fold increase in DNA-PKcs activity as compared with untreated control cells. Furthermore, addition of the NOS inhibitor, L-NIO (20 $\mu$ M) decreases DNA-PK activity and abrogates the protective role of NO generation against UV-C irradiation (see Figure 8d). 20 $\mu$ M wortmannin treatment alone has no toxic effect under the same culture conditions (see Figure 8d, WM/-UV column). In each sample, a minimum of 500 cells were counted and labeled nuclei were expressed as a percentage of the total number of nuclei. Values are the means  $\pm$  S.D. of 3 to 5 individual experiments. \*\*, P<0.01.

### Discussion

We report the finding that NO up-regulates the transcription of the catalytic subunit of DNA-PK, correlating with an increase in enzyme activity for DNA-PK. This is a novel finding, as previous reports have demonstrated DNA-PK levels do not fluctuate in a cell (Lee *et al.*, 1997, Molec. Cell. Bio. 17, 1425-1433). Furthermore, even very high doses of ionizing radiation do not result in any significant changes in protein levels or activity for DNA-PK in either human or rodent cells (Lees-Miller *et al.*, 1995, Science 267, 1183-1185). The observation that NO can mediate an increase in DNA-PKcs transcriptional activity correlating with an increase in enzyme activity, points to a new mechanism for DNA-PK regulation.

The NO mediated increase in DNA-PK activity is likely to have significant biological consequences. DNA-PK is important in DNA repair, and SCID mice with a DNA-PK $\alpha$  deficiency have an increased susceptibility to ionizing radiation, impaired V(D)J recombination and arrested B and T cell development (Sipley *et al.*, 1995, Proc. Natl. Acad. Sci. USA 92, 7515-7519; Miller *et al.*, 1995, Proc. Natl. Acad. Sci. USA 92, 10792-10795). Furthermore, DNA-PKcs mutant cells (scid) show increased sensitivity to UV-C irradiation (2 to 2.5 fold) and cisplatin (3 to 4 fold). Recent studies on retroviral DNA integration has shown that DNA-PKcs is also involved in the process of retroviral integration (Daniel *et al.*, 1998, Science

284(5414), 644-7). It has been shown that retroviral integration, for HIV and other retroviruses, requires the activity of the DNA-PKcs mediated pathway. Therefore, a DNA-PKcs inhibitor or an inhibitor of NOS may be useful in the treatment of retrovirus, for example HIV, infection.

5           Although NO mediates an increase in both mRNA and protein for DNA-PKcs, increased enzyme activity requires associated DNA damage within the cell. It is possible that the NO-mediated increase in both mRNA and protein for DNA-PKcs acts as a "priming mechanism" enabling the cell to respond rapidly to NO-associated DNA damage. Previous reports have shown that NO triggers DNA damage (Wink *et al.*, 1991, Science **254**, 1001-1003; Nguyen *et al.*, 1992, Proc. Natl. Acad. Sci. USA **89**, 3030-3034) and, that this in turn, activated poly (ADP-ribose) polymerase (PARP), a DNA break activated molecule involved in genomic stability (Le Rhun *et al.*, 1998, Biochem. Biophys. Res. Commun. **245**, 1-10; Zhang *et al.*, 1994, Science **263**, 687-689). The NO-mediated increase in activity of both DNA-PK and PARP  
10           suggests there may be a co-ordinated response within the cell to minimise potentially genotoxic mediated by NO.  
15

          Exposure of cells to low doses of NO has been shown to offer protection against subsequent challenge with much higher doses (Kim *et al.*, 1995, FEBS Lett. **374**, 228-232). More recently, NO has been demonstrated to protect keratinocytes and endothelial cells against UVA-induced DNA damage and apoptosis by  
20           increasing Bcl-expression (Suschek *et al.*, 1999, J. Biol. Chem. **274**, 6130-6137). These findings, taken together with the results presented here supports the idea of NO having a signal "priming role", enabling the cell to respond rapidly to subsequent NO and radiation-associated damage.

25           To further test the biological significance of the upregulation of DNA-PK we exposed cells to high doses of DNA damaging agents, such as NO donors, bleomycin, adriamycin, cisplatin, UV-C irradiation and X-ray irradiation. Some of these agents, such as bleomycin and cisplatin, are highly efficient anticancer drugs which work via mechanisms resulting in DNA damage, including both single and  
30           double-strand damage. We have shown that NO generating cells, with increased levels of DNA-PK, are fully protected against UV-C, X-ray irradiation, bleomycin,

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adriamycin and cisplatin, as well as to high concentrations of NO donors. However, our data show that a NOS inhibitor or a DNA-PK inhibitor can abolish this protection.

These results are highly significant in the context of cancer therapy. The finding that human cancer cells express NOS indicates that NO may play a pathophysiological role in promoting tumor growth and in protecting tumors from agents that cause DNA damage. NO production in cancer cells may thus confer resistance to chemotherapeutic drugs, such as bleomycin and cisplatin, and radiotherapeutic agents, such as X-ray irradiation, on those cells.

10       Acquired drug resistance is a major problem in cancer treatment. Our findings suggest that NO production may underlie resistance to some widely used cancer drugs. These findings open up a totally new strategy for cancer therapy, suggesting that administration of DNA-damaging drugs in combination with inhibitors of NOS or DNA-PK can sensitize NO-producing tumor cells that would  
15       otherwise be resistant to DNA-damaging drugs or agents.

Claims

1. A method for identifying a polynucleotide, the expression of which is modulated in the presence of nitric oxide (NO), which method comprises:

- 5 (i) providing an mRNA or cDNA population from cells which contain a polynucleotide construct, which construct comprises:
- (a) a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; or
- 10 (b) a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof;
- 15 (ii) providing an mRNA or cDNA population from cells as defined in step (i), said cells having been contacted with ecdysone or an analog thereof; and
- (iii) comparing the populations of steps (i) and (ii), thereby to determine which polynucleotides show modulated expression in the presence of
- 20 NO.

2. Use of a polynucleotide identified by a method according to claim 1 in a method for identifying an inhibitor or stimulator of transcription and/or translation of the polynucleotide and/or activity of the polypeptide encoded by that

25 polynucleotide.

3. A method for identifying:
- (i) an inhibitor or stimulator of transcription and/or translation of a polynucleotide identified by a method according to claim 1; and/or
- 30 (ii) an inhibitor or stimulator of activity of a polypeptide encoded

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by a said polynucleotide,  
which method comprises determining whether a test substance can inhibit or stimulate transcription and/or translation of the polynucleotide and/or activity of a polypeptide encoded by a said polynucleotide.

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4. An inhibitor or stimulator identified by the method of claim 3.

5. An inhibitor or stimulator according to claim 4 for use in a method of treatment of the human or animal body by therapy.

10

6. A polynucleotide construct comprising:

(a) a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; or

15

(b) a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof.

20

7. A polynucleotide construct according to claim 6, wherein the NOS is human inducible NOS.

8. A polynucleotide construct according to claim 6, wherein the NOS is human neuronal NOS.

25

9. A polynucleotide construct according to claim 6, wherein the NOS is human endothelial NOS.

30

10. A polynucleotide construct according to any one of claims 6 to 9, wherein the promoter in part (a) comprises a minimal promoter and an element or

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elements which is/are responsive to ecdysone or an analog thereof.

11. A polynucleotide construct according to any one of claims 6 to 9, wherein two operator site sequences are present in part (b).

12. A vector which incorporates a polynucleotide construct as defined in any one of claims 6 to 11.

10 13. A cell which harbours a polynucleotide construct according to any one of claims 6 to 11 or a vector according to claim 12.

14. A cell according to claim 13 which harbours a construct as defined in part (a) of claim 6 and which is capable of expressing a functional ecdysone receptor.

15 15. A cell according to claim 14, wherein the functional ecdysone receptor comprises a heterodimer of the ecdysone receptor (EcR) or functional variant thereof and the human retinoid X receptor (RXR) or functional variant thereof.

20 16. A cell according to claim 13 which harbours a construct as defined in part (b) of claim 6 and which is capable of expressing the tetracycline repressor protein or a functional variant thereof.

25 17. Products containing an NOS inhibitor and a DNA damaging agent as a combined preparation for simultaneous, separate or sequential use in the treatment of cancer.

30 18. Products containing a DNA repair enzyme inhibitor and a DNA damaging agent as a combined preparation for simultaneous, separate or sequential use in the treatment of cancer.



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19. Products according to claim 18, wherein the DNA repair enzyme inhibitor is a PI 3-kinase like kinase inhibitor.

20. Products according to claim 19, wherein the PI 3-kinase like kinase inhibitor is a DNA-PK inhibitor.

21. Products according to any one of claims 17 to 19, wherein the DNA damaging agent is a DNA alkylating or cross-linking agent.

22. Products according to claim 21, wherein the alkylating or cross-linking agent is a nitrosourea, a nitrogen mustard, a mitomycin or a platinum coordination compound.

23. Products according to any one of claims 17 to 19, wherein the DNA damaging agent is a DNA binding and/or cleaving agent.

24. Products according to claim 23, wherein the DNA binding and/or cleaving agent is danorubicin hydrochloride USP (Cerubidine), doxorubicin USP (Adriamycin), idarubicin hydrochloride (Idamycin), mitoxanthrone hydrochloride USP (Novantrone), bleomycin sulfate USP (Blenoxane), esperamicin A<sub>1</sub>, dactinomycin USP (Cosmegen), plicamycin USP, procarbazine hydrochloride USP (Matulane).

25. Use of an NOS inhibitor in the manufacture of a medicament for use with a DNA damaging agent in the treatment of cancer.

26. Use of a DNA repair enzyme inhibitor in the manufacture of a medicament for use with a DNA damaging agent in the treatment of cancer.

27. Use according to claim 26, wherein the DNA repair enzyme is a PI 3-kinase like kinase inhibitor.

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28. Use according to claim 27, wherein the PI 3-kinase like kinase inhibitor is a DNA-PK inhibitor.

5 29. Use according to any one of claims 25 to 28, wherein the DNA damaging agent is a DNA alkylating and/or cross-linking agent.

10 30. Use according to claim 29, wherein the DNA alkylating or cross-linking agent is a nitrosourea, a nitrogen mustard, a mitomycin or a platinum coordination compound.

31. Use according to any one of claims 25 or 28, wherein the DNA damaging agent is a DNA binding and /or cleaving agent.

15 32. Use according to claim 31, wherein the DNA binding and/or cleaving agent is danorubicin hydrochloride USP (Cerubidine), doxorubicin USP (Adriamycin), idarubicin hydrochloride (Idamycin), mitoxanthrone hydrochloride USP (Novantrone), bleomycin sulfate USP (Blenoxane), dactinomycin USP (Cosmegen), plicamycin USP, procarbazine hydrochloride USP (Matulane).

20 33. Use according to any one of claims 25 to 28, wherein the DNA damaging agent is X-ray irradiation.

25 34. A method of treating a host suffering from a cancer, which method comprises administering to the host therapeutically effective amounts of an NOS inhibitor and a DNA damaging agent.

30 35. A method of treating a host suffering from a cancer, which method comprises administering to the host therapeutically effective amounts of a DNA repair enzyme inhibitor and a DNA damaging agent.

36. A method according to claim 35, wherein the DNA repair enzyme is a

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DNA-PKcs.

46. Products containing an NOS inhibitor and a PI 3-kinase like kinase inhibitor as a combined preparation for simultaneous, separate or sequential use in the treatment of retroviral infection.

47. Products according to claim 46, wherein the PI 3-kinase like kinase is DNA-PKcs.

48. A method of treating a host suffering from retroviral infection, which method comprises administering to the host a therapeutically effective amount of an NOS inhibitor.

49. A method of treating a host suffering from retroviral infection, which method comprises administering to the host therapeutically effective amounts of an NOS inhibitor and a PI-3 kinase like kinase inhibitor.

50. A method according to claim 49, wherein the PI 3-kinase like kinase is DNA-PKcs.

51. Use according to any one of claims 43 to 45, products according to claim 46 or 47 or a method according to any one of claims 48 to 50, wherein the retroviral infection is HIV infection.

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PI 3-kinase like kinase inhibitor.

37. A method according to claim 36, wherein the PI 3-kinase like kinase inhibitor is a DNA-PK inhibitor.

5

38. A method according to any one of claims 34 to 37, wherein the DNA damaging agent is a DNA alkylating and/or cross-linking agent.

10

39. A method according to claim 38, wherein the DNA alkylating and/or cross-linking agent is a nitrosourea, a nitrogen mustard, a mitomycin or a platinum coordination compound.

15

40. A method according to any one of claims 34 to 37, wherein the DNA damaging agent is a DNA binding and /or cleaving agent.

20

41. A method according to claim 40, wherein the DNA binding and /or cleaving agent is danorubicin hydrochloride USP (Cerubidine), doxorubicin USP (Adriamycin), idarubicin hydrochloride (Idamycin), mitoxanthrone hydrochloride USP (Novantrone), bleomycin sulfate USP (Blenoxane), dactinomycin USP (Cosmegen), plicamycin USP, procarbazine hydrochloride USP (Matulane).

42. A method according to any one of claims 34 to 37, wherein the DNA damaging agent is X-ray irradiation.

25

43. Use of an NOS inhibitor in the manufacture of a medicament for use in the treatment of retroviral infection.

44. Use of an NOS inhibitor in the manufacture of a medicament for use with a PI 3-kinase like kinase inhibitor in the treatment of retroviral infection.

30

45. Use according to claim 44, wherein the PI 3-kinase like kinase is

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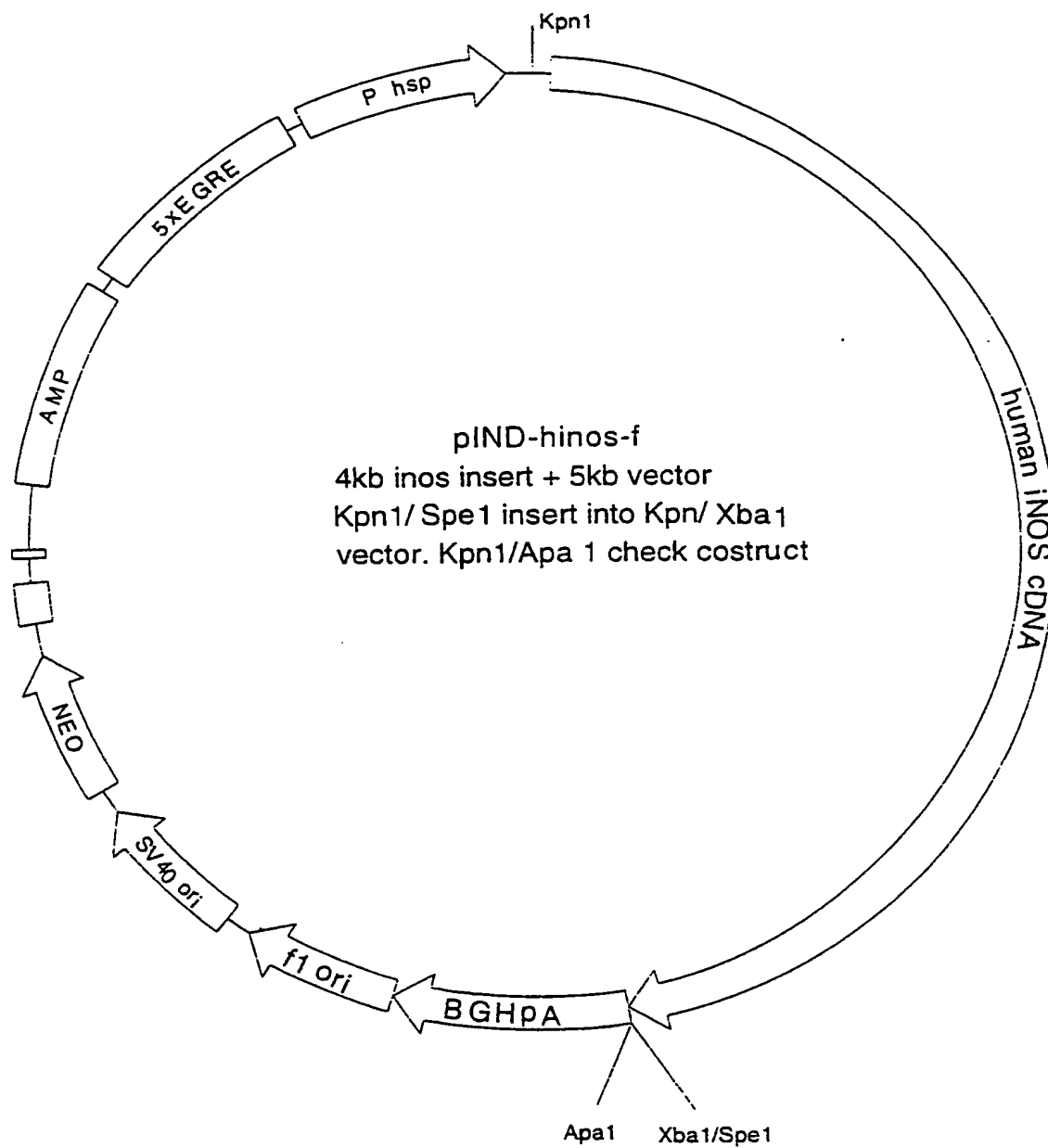


Figure 1a



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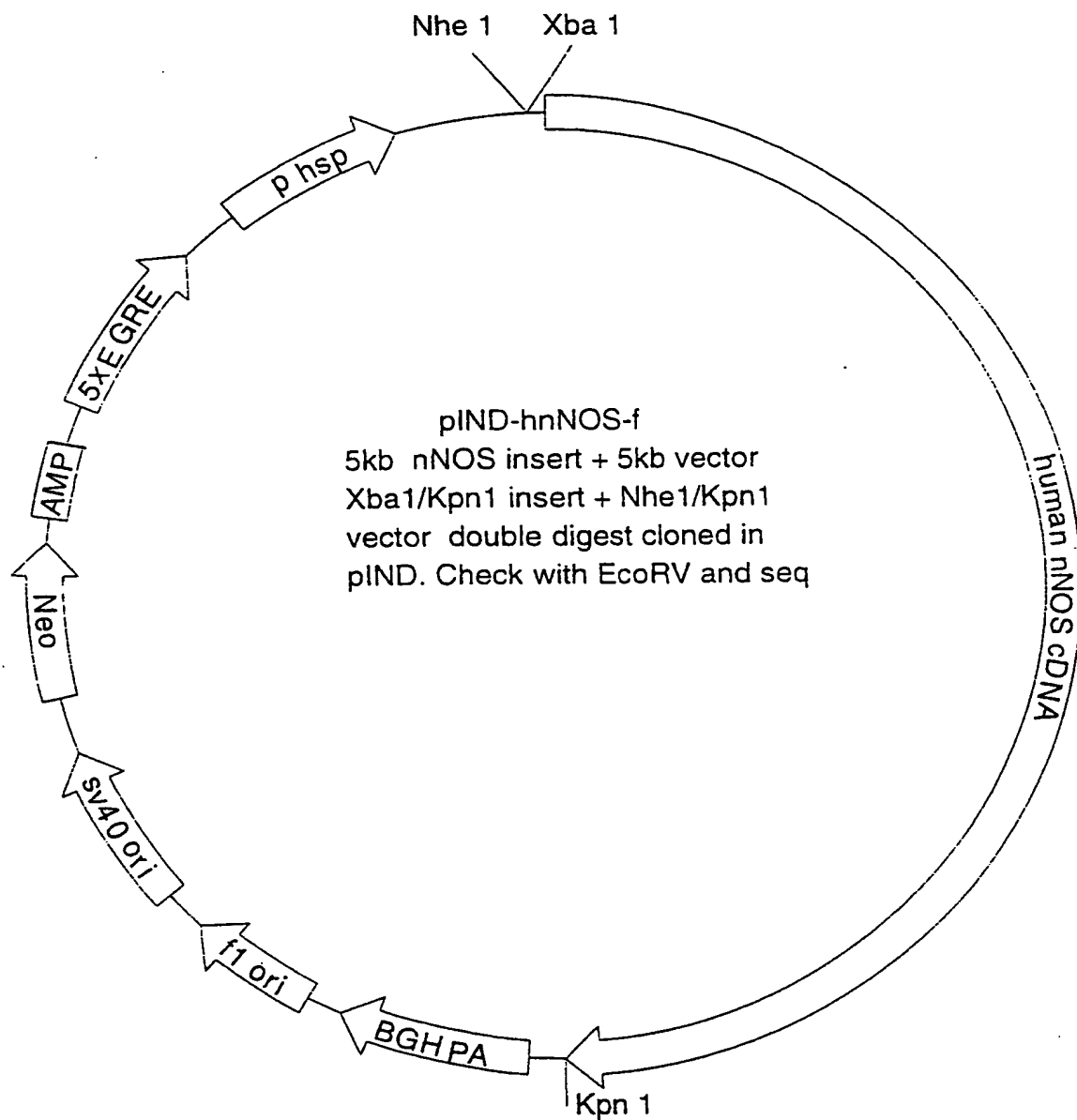


Figure 1b





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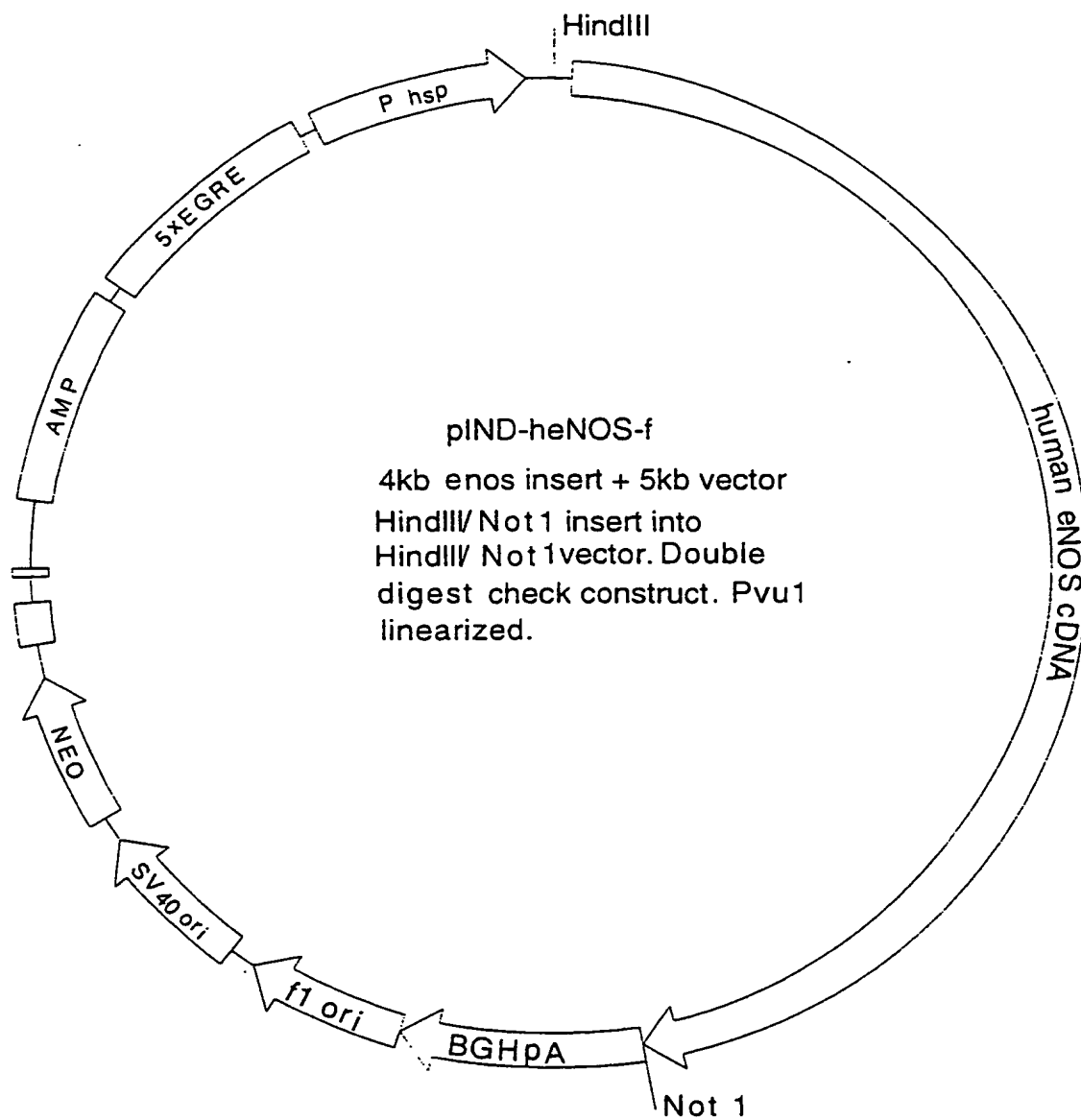


Figure 1c



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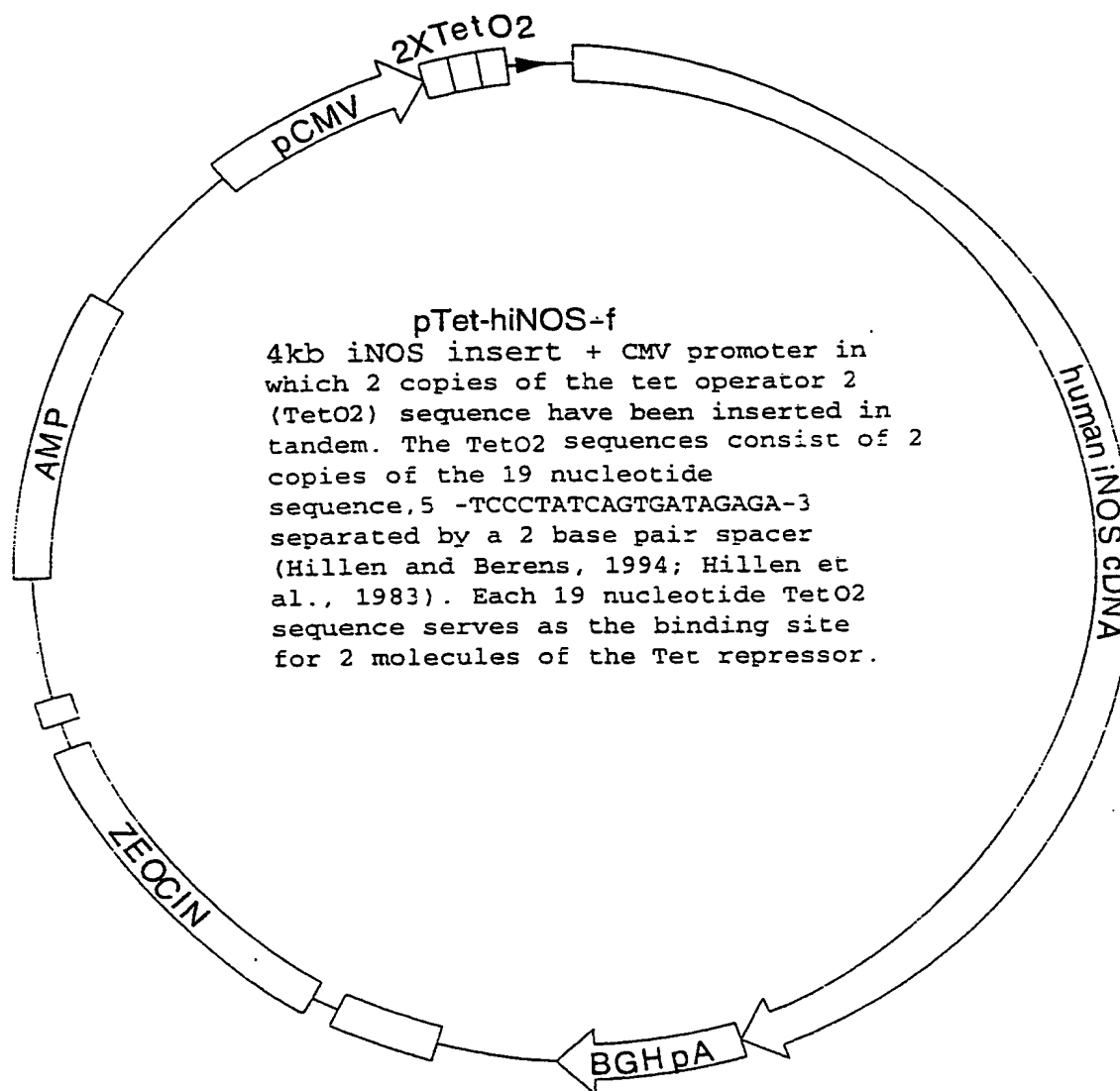


Figure 1d



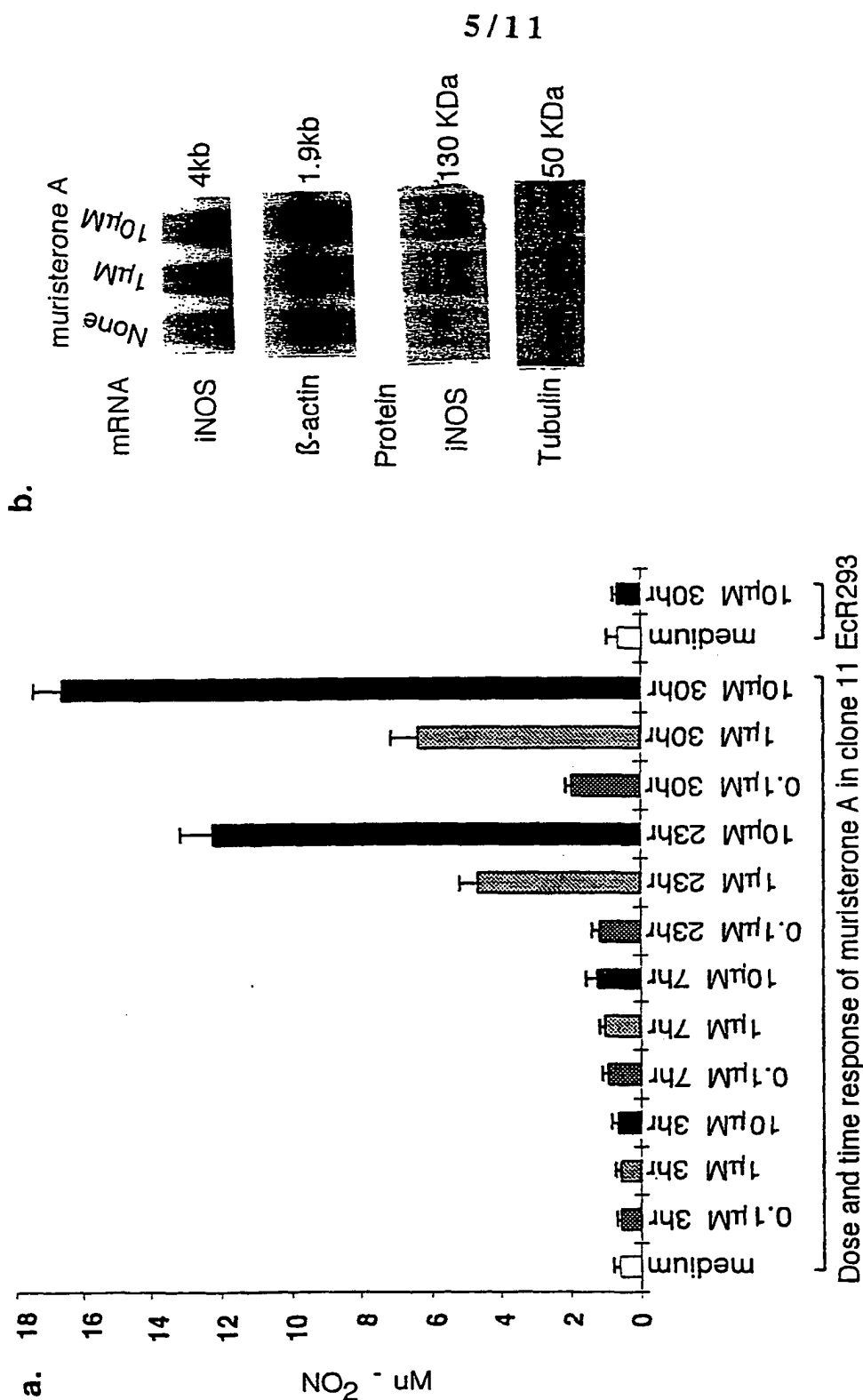


Figure 2

1. The first part of the document is a list of the names of the persons who were present at the meeting. The names are listed in alphabetical order.

2. The second part of the document is a list of the topics that were discussed at the meeting. The topics are listed in alphabetical order.

3. The third part of the document is a list of the actions that were taken at the meeting. The actions are listed in alphabetical order.

4. The fourth part of the document is a list of the decisions that were made at the meeting. The decisions are listed in alphabetical order.

5. The fifth part of the document is a list of the recommendations that were made at the meeting. The recommendations are listed in alphabetical order.

6. The sixth part of the document is a list of the conclusions that were reached at the meeting. The conclusions are listed in alphabetical order.

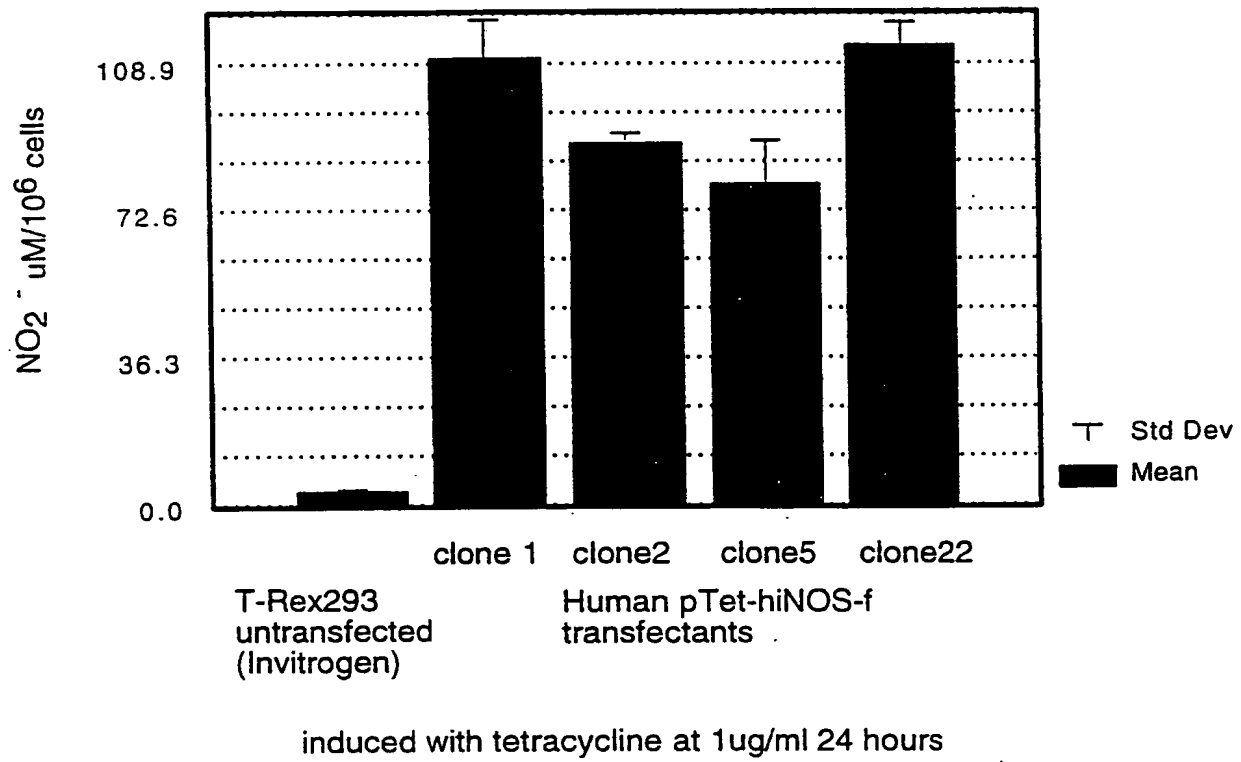
7. The seventh part of the document is a list of the suggestions that were made at the meeting. The suggestions are listed in alphabetical order.

8. The eighth part of the document is a list of the comments that were made at the meeting. The comments are listed in alphabetical order.

9. The ninth part of the document is a list of the questions that were asked at the meeting. The questions are listed in alphabetical order.

10. The tenth part of the document is a list of the answers that were given at the meeting. The answers are listed in alphabetical order.

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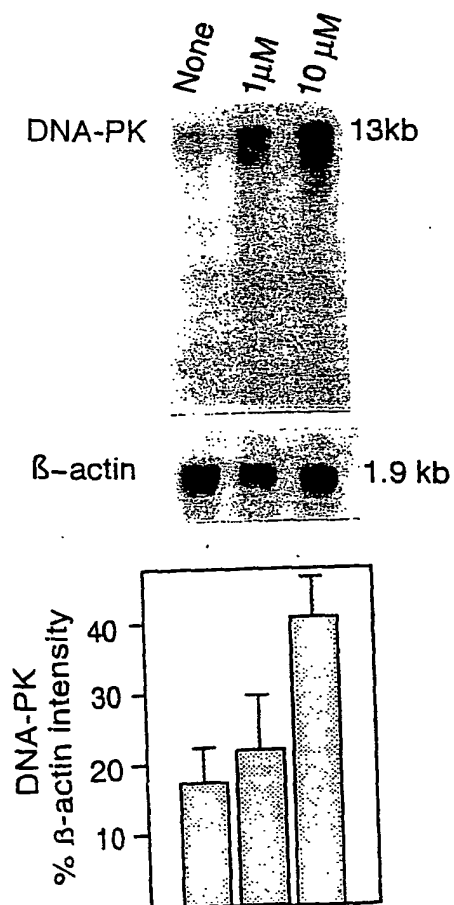
**Figure 3**





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a.



b.

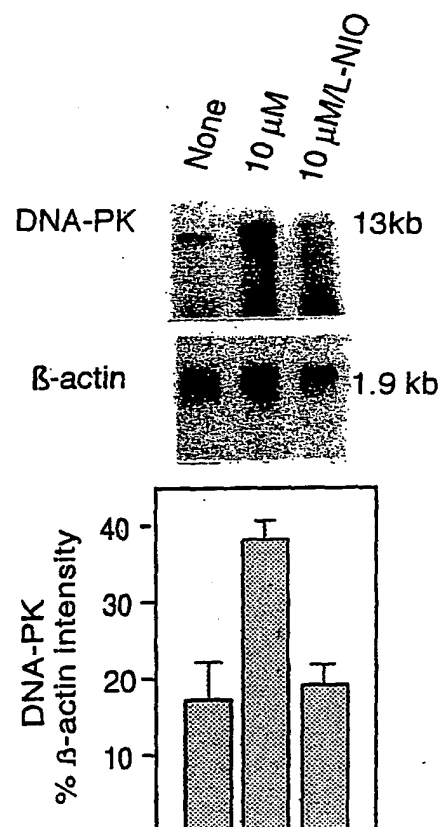


Figure 4



1. The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that this is crucial for ensuring the integrity of the financial system and for providing a clear audit trail.

2. The second part of the document outlines the specific procedures for recording transactions. It details the steps involved in entering data into the system, from initial entry to final verification.

3. The third part of the document addresses the issue of data security. It discusses the various measures that should be taken to protect sensitive information from unauthorized access and loss.

4. The fourth part of the document discusses the importance of regular backups. It explains how backups can help to prevent data loss in the event of a system failure or disaster.

5. The fifth part of the document discusses the importance of training. It explains that all users of the system should receive appropriate training to ensure that they are able to use the system correctly and safely.

6. The sixth part of the document discusses the importance of documentation. It explains that all procedures and policies should be clearly documented to ensure that they can be followed consistently.

7. The seventh part of the document discusses the importance of communication. It explains that all users should be kept informed of any changes to the system or procedures.

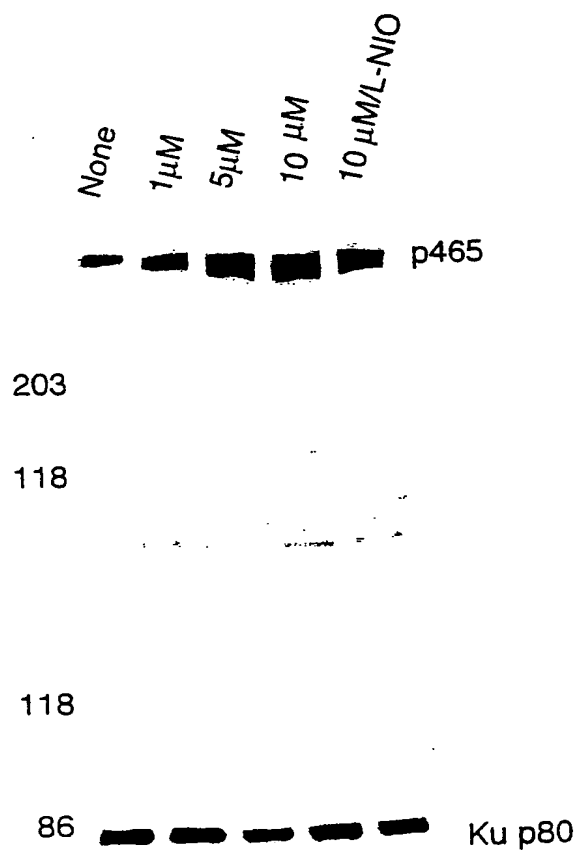
8. The eighth part of the document discusses the importance of monitoring. It explains that the system should be monitored regularly to ensure that it is operating correctly and that any problems are identified and resolved as quickly as possible.

9. The ninth part of the document discusses the importance of evaluation. It explains that the system should be evaluated regularly to ensure that it is meeting its intended purpose and that any necessary improvements are made.

10. The tenth part of the document discusses the importance of compliance. It explains that the system must comply with all relevant laws and regulations.

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a.



b.

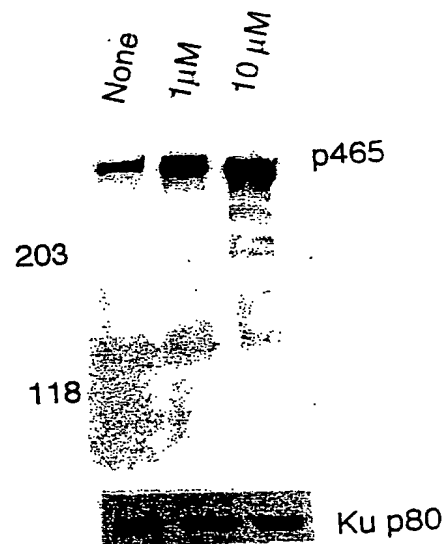


Figure 5



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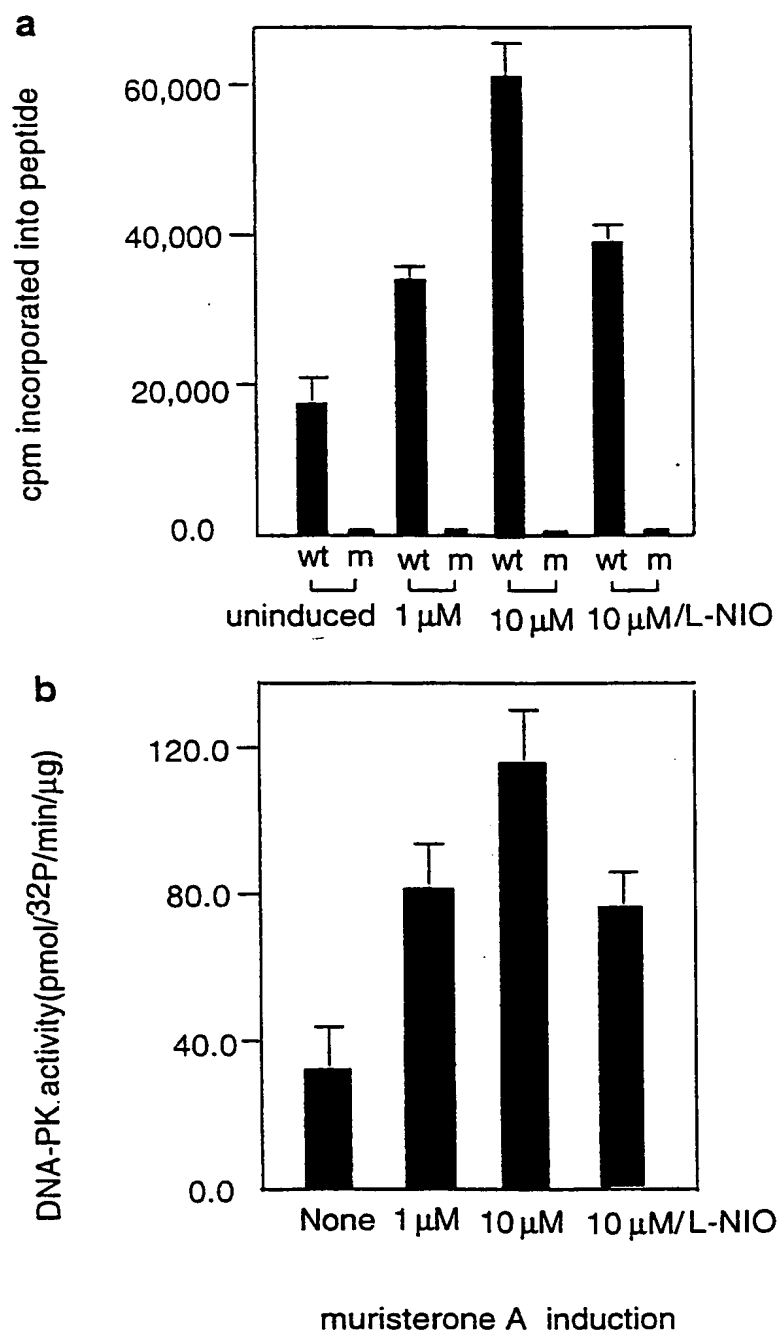


Figure 6



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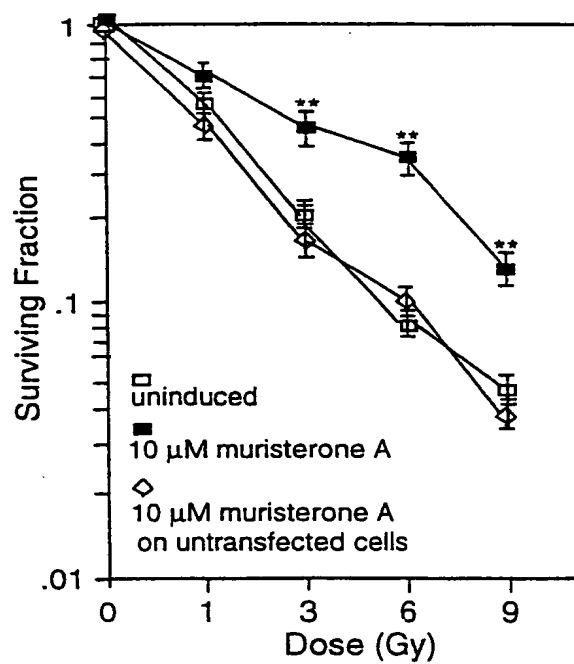


Figure 7





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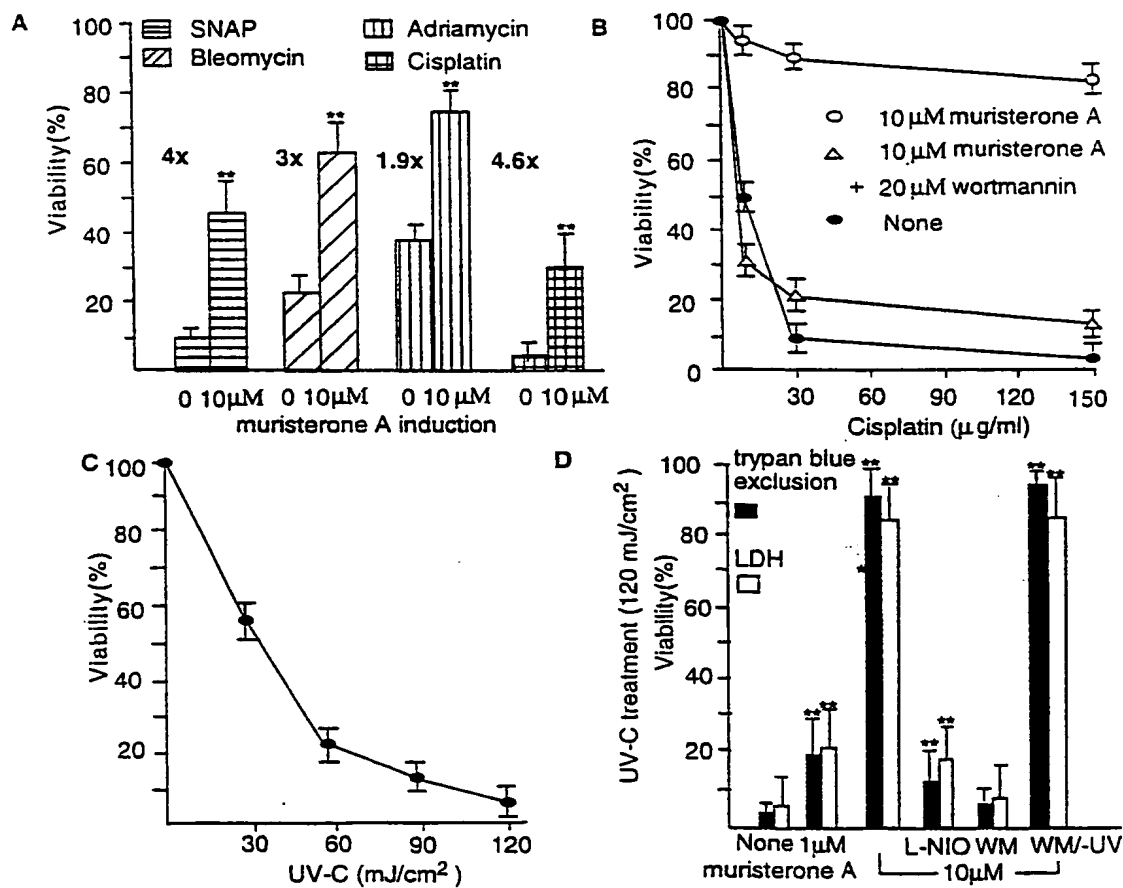


Figure 8



1

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
8 February 2001 (08.02.2001)

PCT

(10) International Publication Number  
**WO 01/09375 A3**

- (51) International Patent Classification<sup>7</sup>: C12Q 1/68, C07K 14/47, C12N 5/10, 15/63, A61K 38/00 (74) Agent: WOODS, Geoffrey, Corlett; J.A. Kemp & Co., 14 South Square, Gray's Inn, London WC1R 5LX (GB).
- (21) International Application Number: PCT/GB00/02932 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 28 July 2000 (28.07.2000)
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- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): UNIVERSITY COLLEGE LONDON [GB/GB]; Gower Street, London WC1E 9BT (GB).
- Published:  
— With international search report.  
— Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.
- (72) Inventors; and  
(75) Inventors/Applicants (*for US only*): CHARLES, Ian, George [GB/GB]; The Wolfson Institute for Biomedical Research, The Cruciform Building, University College London, Gower Street, London WC1E 6BT (GB). XU, Weiming [GB/GB]; The Wolfson Institute for Biomedical Research, The Cruciform Building, University College London, Gower Street, London WC1E 6BT (GB). LIU, Lizhi [GB/GB]; The Wolfson Institute for Biomedical Research, The Cruciform Building, University College London, Gower Street, London WC1E 6BT (GB).
- (88) Date of publication of the international search report:  
25 May 2001
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



WO 01/09375 A3

(54) Title: INDUCIBLE SCREEN FOR DRUG DISCOVERY

(57) Abstract: A method for identifying a polynucleotide, the expression of which is modulated in the presence of nitric oxide (NO), which method comprises: (i) providing an mRNA or cDNA population from cells which contain a polynucleotide construct, which construct comprises: (a) a promoter operably linked to a coding sequence, wherein the promoter is responsive to ecdysone or an analog thereof and the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; or (b) a promoter operably linked to one or more tetracycline operator site sequences and a coding sequence in that order, wherein the coding sequence encodes a nitric oxide synthase (NOS) or a functional variant thereof; (ii) providing an mRNA or cDNA population from cells as defined in step (i), said cells having been contacted with ecdysone or an analog thereof; and (iii) comparing the populations of steps (i) and (ii), thereby to determine which polynucleotides show modulated expression in the presence of NO.



# INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/GB 00/02932

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12Q1/68 C07K14/47 C12N5/10 C12N15/63 A61K38/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12Q C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data, MEDLINE, EMBASE, BIOSIS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 26066 A (ARIAD GENE THERAPEUTICS INC ; CERASOLI FRANKLIN JR (US)) 18 June 1998 (1998-06-18)	6-16
Y	claims 1-25	1
Y	US 5 759 836 A (ABRAMSON STEVEN B ET AL) 2 June 1998 (1998-06-02)	1
A	claims 1,2	6-16
X	WO 99 33971 A (MEDICAL COLLEGE OF GEORGIA RES) 8 July 1999 (1999-07-08) the whole document	2,3
X	US 5 908 756 A (JAFFREY SAMIE R ET AL) 1 June 1999 (1999-06-01) the whole document	2,3
-/--		

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*A\* document member of the same patent family

Date of the actual completion of the international search

9 March 2001

Date of mailing of the international search report

23/03/2001

Name and mailing address of the ISA

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Authorized officer

Gabriels, J



# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/GB 00/02932

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 11620 A (LOWE JOHN ADAMS III ;PFIZER PROD INC (US)) 11 March 1999 (1999-03-11) page 7 -page 8; claims 1-10 ---	17,25,34
X	CHRISTODOULOPOULOS GARYFALLIA ET AL: "Potentiation of chlorambucil cytotoxicity in B-cell chronic lymphocytic leukemia by inhibition of DNA-dependent protein kinase activity using wortmannin." CANCER RESEARCH, vol. 58, no. 9, 1 May 1998 (1998-05-01), pages 1789-1792, XP002161828 ISSN: 0008-5472 page 1790, left-hand column -page 1792, left-hand column ---	18-22, 26-30, 35-39
X	DATABASE BIOSIS 'Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; 23 November 1998 (1998-11-23) HOSOI YOSHIO ET AL: "A phosphatidylinositol 3-kinase inhibitor wortmannin induces radioresistant DNA synthesis and sensitizes cells to bleomycin and ionizing radiation." Database accession no. PREV199900006904 XP002161830 abstract & INTERNATIONAL JOURNAL OF CANCER, vol. 78, no. 5, 23 November 1998 (1998-11-23), pages 642-647, ISSN: 0020-7136 ---	18-20, 23,24, 26-28, 31-33, 40-42
X	DATABASE BIOSIS 'Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; 28 May 1998 (1998-05-28) DEL REAL GUSTAVO ET AL: "Suppression of HIV-1 infection in linomide-treated SCID-hu-PBL mice." Database accession no. PREV199800315674 XP002161831 abstract & AIDS (LONDON), vol. 12, no. 8, 28 May 1998 (1998-05-28), pages 865-872, ISSN: 0269-9370 ---	43
Y	abstract & AIDS (LONDON), vol. 12, no. 8, 28 May 1998 (1998-05-28), pages 865-872, ISSN: 0269-9370 ---	44-51
	-/--	





# INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/GB 00/02932

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DANIEL RENE ET AL: "A role for DNA-PK in retroviral DNA integration." SCIENCE (WASHINGTON D C), vol. 284, no. 5414, 23 April 1999 (1999-04-23), pages 644-647, XP002161829 ISSN: 0036-8075 cited in the application the whole document	44-51
A	NO ET AL: "ECDYSONE-INDUCIBLE EXPRESSION IN MAMMALIAN CELLS AND TRANSGENIC MICE" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, INDIA. SECTION A, PHYSICAL SCIENCES, IN, NATIONAL ACADEMY OF SCIENCE, ALLAHABAD, vol. 93, 1996, pages 3346-3351, XP002136440 ISSN: 0369-8203 page 3350, right-hand column -page 3351, left-hand column; figures 1,2	1-16



FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-3,6-16 (complete)

Invention 1:

A polynucleotide and vector containing an inducible promoter and a nitric oxide synthase coding sequence and methods and uses thereof and cells containing it.

2. Claims: 17,25,43-47 (complete) 51 (partially)

Invention 2:

Products containing NOS inhibitors and uses thereof.

3. Claims: 18-24,26-33 (complete)

Invention 3:

Products containing a DNA repair enzyme inhibitor and a DNA damaging agent and uses thereof.



## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 4,5

Claims 4, and 5 are directed to compounds identified by the method of claim 3. Claim 3 is again directed to compounds identified by the method of claim 1. However, no such compounds are defined in the application thereby rendering the subject matter of said claims purely speculative and a mere statement of the goals to be achieved. No meaningful search can be carried out for such "read-through claims" whose scope is open-ended and unclear (Article 6 PCT).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 00/02932

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9826066 A	18-06-1998	AU 7847698 A EP 0948619 A	03-07-1998 13-10-1999
US 5759836 A	02-06-1998	AU 5306396 A WO 9630388 A	16-10-1996 03-10-1996
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US 5908756 A	01-06-1999	AU 4330197 A EP 0958359 A JP 2001500726 T WO 9808945 A	19-03-1998 24-11-1999 23-01-2001 05-03-1998
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